COLLECTIVE BARGAINING AGREEMENT

Between

LIEBERMAN SKILLED NURSING FACILITY LLC
DBA WARREN BARR LIEBERMAN

And

SERVICE EMPLOYEES INTERNATIONAL UNION LOCAL 73, CTW

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Effective Dates August 1, 2021 through April 30, 2022
ARTICLE 1 - PARTIES

This Agreement is entered into between Service Employees International Union Local 73, CTW (herein called the “Union”) and Lieberman Skilled Nursing Facility LLC d/b/a Warren Barr Lieberman (herein called the “Employer”) and shall be effective from August 1, 2021 through April 30, 2022.

WHEREAS, the parties desire to establish and maintain a united, cooperative action between the Employer and the employees in order to promote harmonious industrial relations:

NOW, THEREFORE, in consideration of the mutual covenants and agreements herein contained, the parties agree as follows:

ARTICLE 2 - RECOGNITION

1a. The Employer recognizes the Union as the sole collective bargaining agent for those job titles in each facility for which the Union historically has been recognized and for those titles for which representation is established under these procedures. Beginning on the date of ratification of this Agreement, new Employers becoming bound to this Agreement pursuant to the terms of this Agreement shall recognize the Union as the sole collective bargaining agent for all full time and regular part time employees including but not limited to Certified Nurses Assistants (CNAs), Art Therapists, Dietary Employees, Housekeeping Employees, Laundry Employees, Activity Aides, Rehab Aides, Drivers, Psychosocial Aides, Maintenance employees, and Clerical employees but excluding confidential employees, casual employees (including PRN employees), guards, and supervisors as defined by the National Labor Relations Act.

It is the intent of the parties that all Lieberman (Council for Jewish Elderly) employees historically included in the SEIU Local 73 Collective Bargaining Agreement with The Jewish Federation of Chicago be included in the Bargaining Unit covered by this Agreement with the exception of licensed practical and registered nurses.

1b. For the purposes of notifications by the Employer to the Union required in this agreement, it is understood that the Union is defined as the representational staff employed by the Union. Such notifications shall be made in writing to either the business address of the Union, or through the Union’s Member Action Center via email at MAC@seiu73.org or via facsimile at 312.337.7768. In addition, the Employer will endeavor to notify a steward if one is available at the applicable facility.

2. The Employer agrees not to enter into any agreement or contract with its employees, neither individually nor collectively, which conflicts with any of the provisions of this Agreement.

3. (a) In the event the Employer expands and or adds to the nursing home operations at the current facility located at 9700 Gross Point Rd., Skokie, IL, the Union may request recognition as the exclusive collective bargaining representative for any remaining unrepresented, non-supervisory employees not excluded in Section I of this Article for whom the Union claims majority status. The Union’s representation in such cases will be determined by an election conducted by
the National Labor Relations Board or by majority card check if the Employer and the Union mutually agree to forego an NLRB election. Any card check verification conducted under this paragraph shall occur within three (3) days of the Union’s written demand for recognition. If the Union’s representation is verified by a majority card check or NLRB election, the Employer shall apply the terms of this Agreement to the affected employees within thirty (30) days of voluntary recognition or certification of election results. Nothing in this section shall affect the rights of either party with respect to newly created positions as set forth in Section 7 below. This shall not apply to any Employer facilities at which employees are represented by any other labor organization for the classifications set forth in Section 1.

(b) Whenever the Union becomes involved in organizing activity described in Paragraph 3(a), the Employer shall maintain a neutral position as follows:

(1) The Employer shall advise employees in the job classifications being organized by the Union that it is not opposed to the selection of SEIU Local 73 as their bargaining representative;

(2) The Employer shall refrain from lending any assistance or support of any kind to any group opposed to SEIU Local 73;

(3) The Employer shall not hold any one-on-one or group paid time meetings where the subject to be discussed is representation by the SEIU Local 73;

(4) The Employer shall instruct its owners, managers, supervisors, and other agents to refrain from initiating or participating in conversations with employees that SEIU Local 73 seeks to organize about the Union or Union representation; provided however, that if an employee in the proposed bargaining unit asks an owner, manager, supervisor, or other agent a question about the Union or Union representation, the question may be answered factually and the employee shall be advised that the Employer is neutral on the question of Union representation and that the choice of whether the employee wants to be represented by SEIU Local 73 is for the employee to make;

(5) The Employer shall not disseminate any written materials regarding the Union’s organizing campaign; provided however that the Employer may disseminate written material that corrects any inaccurate statements made by the Union. Any such written material shall be factual and positive and shall not criticize or disparage the Union, its officers or members, or its mission.

(6) In return for the Employer’s commitment to strict neutrality, the Union agrees that any statement made by the Union when organizing employees pursuant to Section 3(a) shall be factual and shall not disparage either the practices, policies, motive or mission of the Employer and/or any related entity or any representatives thereof. The Union may convey its position fairly and may provide employees with factual information to support an informed decision. The Union shall not communicate with employees regarding the financial status of the Employer or any related entity or any of the representatives thereof. The Union further agrees that its campaigning activities shall not interfere with the work of the Employer’s employees.
(7) Where an NLRB election is conducted, such election will be conducted in the unrepresented, non-supervisory classifications requested by the Union pursuant to those provisions of Appendix B with the exception of Sections 1, 2, 3, 4 (for the classifications sought), 6, the limitations of Section 17(a) and (b) (in that the election would be conducted for titles which in themselves would not constitute an appropriate unit), and Exhibit C and Information Sheet.

4. For existing or future nursing home facilities of Employers at which no labor organization currently represents employees in the job classifications set forth in Section 1 of this Article, the Union may request recognition as the exclusive bargaining representative in a unit comprised of the job classifications set forth in Section 1. The Union’s representation in such cases will be determined by an election conducted by the National Labor Relations Board or by majority card check if the Employer and the Union mutually agree to forego an NLRB election. Any card check verification conducted under this paragraph shall occur within three (3) days of written request by the Union. Organizing at these facilities will be conducted in accordance with the rules of conduct as set forth in Appendix B.

5. If the Union’s representation is verified by a majority card check or NLRB election for facilities organized pursuant to Section 4, the Employer will recognize the Union as representative of the employees and will apply the terms of this Agreement within thirty (30) days of such recognition. At the request of either the Union or the Employer, provided such request is made within seven (7) days of recognition, the parties will enter into negotiations for a side letter to address issues specific to that facility, either where this Agreement is silent on such issues or where differing conditions at the facility require further negotiation.

The Employer will not file a petition with the National Labor Relations Board for any election or other proceeding in connection with any demand for recognition by the Union made pursuant to these provisions.

The Union will not file a Unit Clarification petition for positions historically excluded from the unit but reserves its right to file a Unit Clarification petition for positions recently created or recently excluded.

6. (a) In the event the Employer creates a new, non-supervisory, non-managerial, job classification which shares a community of interest with the employees in the recognized bargaining unit, the Employer shall so inform the Union. At the Union’s request, the Employer will meet with the Union to discuss whether or not the new classification shall be included in the bargaining unit and, if so, the appropriate rate of pay. If the parties cannot agree on the bargaining unit placement, the Union may, consistent with the provisions of the National Labor Relations Act, file a unit clarification petition. If the parties agree on inclusion of the job classification in the unit (or if the National Labor Relations Board decides in favor of inclusion) but the parties disagree on the rate of pay, the Union may request arbitration in accordance with the procedures set forth in Article 16 of this Agreement. The sole issue before the arbitrator shall be whether or not the rate established by the Employer bears a reasonable relationship to the rates of other bargaining unit jobs.
(b) In the event the Employer combines or substantially changes the duties of a bargaining unit job(s), the Employer will notify the Union. Upon request, the Employer will meet with the Union to discuss the appropriate wage rate applicable to such job. If the parties disagree on the appropriate rate, the Union may request arbitration in accordance with the procedures set forth in Article 16 of this Agreement. The sole issue before the Arbitrator shall be whether or not the rate established by the Employer bears a reasonable relationship to the rates of other bargaining unit jobs.
ARTICLE 3 - MAINTENANCE OF STANDARDS

1. (a) No employee during the term of this Agreement shall, suffer any reduction in their hourly rate of pay (including appropriate differentials or other appropriate premium pay). Any raises granted in excess of contractually required minimum rates (whether granted prior to or during the term of this Agreement) shall be part of the employee’s contractual rate of pay and shall not reduce the employee’s eligibility for any subsequent contractually required raises. This provision shall not apply to any temporary premiums, differentials, merit, bonus or incentive programs established under Article 21, Section 1(c) of this Agreement.

(b) A copy of the Work and Safety Rules and Regulations set forth in Appendix A shall be posted in each facility and, to the extent that such Rules and Regulations provide for discipline for actions for which no discipline was previously provided, no disciplinary action will be taken prior to 14 days after posting at each Employer’s facility.

ARTICLE 4 - UNION SECURITY AND CHECK-OFF

1. All present employees who are members of the Union on the execution date of this Agreement shall remain members in good standing as a condition of their employment. All present employees who are not members of the Union and all employees who are hired hereafter for a classification covered by this Agreement shall become and remain members in good standing in the Union as a condition of their employment on the thirty-first (31st) day following the beginning of their employment or the execution date of this Agreement, whichever is later. The term “member” or “member in good standing” shall be limited to the payment of the initiation fees and membership fees uniformly required as a condition of acquiring or retaining membership, and shall be a financial obligation only. Nothing in this Agreement shall require employees to join or become formal members of the Union.

2. For each employee who shall voluntarily sign a proper form of authorization of check-off of Union initiation fees and Union dues (the signing should be in duplicate, and a copy of each such authorization shall be promptly mailed by the Employer to the Union), the Employer shall check-off and deduct the initiation fee from the employee’s wage and remit it to the Union. The Employer shall further check-off and deduct from such employee’s paycheck on each pay period, an equal amount of regular Union dues and shall remit the same to the Union by the twenty fifth (25th) day of such month. The dues deducted from the employee’s wages and remitted to the Union shall be in amounts specified by the Union to the Employer. With each remittance of dues, the Employer shall furnish to the Union a letter or written report stating the name, classification, home address of new employees, telephone number, date of hiring and the amount remitted on account of each employee and the names of any employees no longer employed and their date of separation. Such written reports shall be transmitted electronically where the employer is capable of such transmission. The Employer shall be given thirty (30) days’ notice of any change in amounts due
under this Section. The provisions of this Agreement shall be exercised in accordance with existing law.

3. In the event any dues are not paid within 60 days of the date they are due, and provided the Union has promptly notified the Employer of the failure to pay and there is no dispute as to the obligation to pay such dues, the Employer shall be obligated to pay an additional 10% of the amounts due. The Union may use any applicable legal remedies not prohibited by Article 16A of this Agreement in the event of a non-payment of dues under this provision. Such dispute shall not be subject to Article 16 of this Agreement.

4. There shall be no deduction of any kind from an employee’s pay except as agreed upon between the Employer and employee or as required by Law.

5. The Union agrees to indemnify, defend, and save the Employer harmless against any and all claims, suits, or other forms of liability arising out of the deduction of dues and fees from an employee’s pay, or from any other liability of any nature on account of the Employer’s compliance with this Article. The Employer shall promptly notify the union of any such claim, suit, or other such complaint.

ARTICLE 5 – MANAGEMENT RIGHTS

Management of the Home, the control of the premises and the direction of the working force are vested exclusively in the Employer subject to the provisions of this Agreement. The right to manage includes, but is not limited to, the following: The right to select, hire, transfer and promote, and to discipline, suspend or discharge for just cause; assign and supervise employees; to determine and change starting times, quitting times, and shifts, and the number of hours to be worked; to determine staffing patterns; to determine policies and procedures with respect to patient care; to determine or change the methods and means by which its operations ought to be carried on; to set reasonable work standards, rules of conduct, and regulations; to determine the size and locations of the Employer’s Home; to extend or curtail, and to terminate (with proper notice for an opportunity for negotiations) the operations of the Employer, to introduce new and improved methods or facilities; and to change existing methods or facilities. The Employer has and retains the powers, functions, rights and authority it would have, but for the signing of this Agreement, except those specifically abridged or modified by the express provisions of this Agreement, provided, however, that such powers, functions, rights and authority shall not be enforced or exercised contrary to or inconsistent with the provisions of this Agreement.
ARTICLE 6 - DISCRIMINATION

1. The Employer shall not discriminate with respect to employment by reason of race, color, religion, sex, national origin, mental or physical disability unrelated to ability to perform the essential functions of the job, age, military status or Union membership or activity.

2. The provisions of the Agreement shall be interpreted and applied in conformity with the Americans with Disabilities Act. In the event an employee shall require a reasonable accommodation under the Americans with Disabilities Act, and the Employer believes that such accommodation is in conflict with some other provision of this Agreement, the Employer shall notify the Union of the potential conflict, and upon request shall discuss the matter with the Union subject to any applicable confidentiality restrictions. It is understood that the implementation of reasonable accommodation required by the ADA shall supersede other provisions of this Agreement.

ARTICLE 7 – UNION REPRESENTATION

1. (a) The Employer recognizes the right of the Union to select or elect from the employees who are members of the Union steward(s) to handle such Union business at the Employer’s premises where they are employed, as may from time to time be delegated to them by the Union in connection with this collective bargaining agreement. The name of each such steward shall be furnished in writing to the Employer and any changes in the chief steward shall be reported to the Employer in writing. From among the stewards at each location, the Union will designate one Chief Steward for each facility to handle such Union business as may from time to time be delegated to him/her by the Union in connection with the administration and enforcement of this collective bargaining agreement. The Chief Steward shall normally be the steward responsible for the steward’s role in the grievance procedure, and where the Employer is initiating discussion with a steward on any matter other than investigatory interviews and discipline (which may be handled by any available steward), the Employer shall initiate contact with the Chief Steward. The Union will appoint no more than four (4) stewards at any facility, except that for any facility with more than 100 members, the Union may appoint up to five (5) stewards.

(b) No activities of any steward which interferes with work, including discussions with any employee regarding any grievance or potential grievance, shall be performed on working time without the prior permission of the supervisor of the steward and the supervisor of any employee involved. Such permission shall not be unreasonably withheld or delayed. Any such activity shall be conducted expeditiously and will not in any way unduly interfere with the work of the steward or any other employee or the care of any resident. Break time, whether paid or unpaid, shall not be considered working time for these purposes.
(c) Discussions of grievances between the steward and members of management shall take place at a mutually agreeable time, either on working or non-working time, and shall be conducted expeditiously and in such a way as to minimize any interference with the work of the steward or resident care.

(d) Nothing in this agreement shall be construed as waiving employees protected rights to engage in conversation regarding union matters to the same extent that other non-work related conversation is permitted on working time, nor to restrict protected rights regarding solicitation and distribution under the National Labor Relations Act.

2. The Union representative shall have admission at reasonable times to discharge his/her duties in the areas in which employees regularly take breaks/lunch. The representatives will notify the facility twenty-four (24) hours prior to arrival. When the visit is outside business hours, such notification shall be prior to 5:00 p.m. on the previous business day. For visits that require more immediate attention, such as disciplinary action related to a specific member, the representative will notify the facility at least two (2) hours prior to arrival. The notice should identify who the representative is, and upon arrival, the representative should display their union credentials. Such representatives shall not unduly interfere with the work of any employee or member of management. Any meeting between Union representatives and member of management shall be at mutually agreeable times.

3. The Employer will provide a bulletin board in the facility for the purpose of posting Union notices signed by a Union official, the location to be near the time clock or in another area where notices to employees are normally posted. Such notices shall be of a non inflammatory, non derogatory nature. The facility Administrator will be given a copy of any such notice prior to posting.

4. The chief steward from each facility will be paid eight (8) hours at their applicable straight time rate of pay for attending the annual Union Leadership Assembly, and each facility will make a reasonable effort to release all recognized stewards, provided the steward or the Union has provided the facility with not less than 21 days’ notice of the intended absence. Such time shall not be counted as hours worked for any purpose.

5. **Union Orientation.**

(a) The Employer shall provide the Union with a roster of the current employees in the bargaining unit on the first business day of each month. The rosters will contain, for each employee in the bargaining unit, the job title, location, rate of pay, start date, home address, primary telephone number provided to the Employer and the employee’s work email address, if any. If an employee on the roster asks the Employer or Union to no longer receive Union communications, the Employer shall notify the Union of, and the Union will honor, any such request.

(b) The rosters to be provided to the Union pursuant to the preceding paragraph will contain the start date of employees newly hired into bargaining unit positions for the purpose of allowing the Union to orient the new employee to the benefits of the Union. The Union shall provide the
Employer with a written list of the names of the representatives designated for this purpose, which list shall designate such a representative at each Employer work site where feasible. The Union shall notify the Employer of all changes therein as they occur. If it is not feasible for the Union to so designate such a representative at a particular work site, the Union shall so indicate on such list.

(c) The steward or a Union staff person when there is no steward available on the shift, will be provided an opportunity to meet for thirty (30) minutes on working time, at a time and place to be designated by the Administrator, with a new employee to inform the employee of the employee’s rights, obligations, and benefits under the collective bargaining agreement. Such discussion shall not include any disparagement of the Employer.

6. **Union Leave of Absence.** Upon 21 days written request from the Union, employees with at least one year of service shall be entitled to receive a leave of absence of up to ninety (90) days, without loss of seniority or other rights under this agreement for Union business. Such a request shall not be unreasonably denied. The employee will continue to be bound by the rules of HIPPA and confidentiality with respect to the Employer, the facility and its residents while on leave. The Employer will not be responsible for paying benefits and the employee will not accrue sick pay while on leave of absence. No more than one employee per facility will be on a leave of absence pursuant to this section at any one time.

7. **Steward Representation-Investigation of Discipline.** (a) An employee who has a reasonable basis to believe he/she may be subject to discipline may choose to have the Union steward present for an investigatory interview. If the Union steward is not reasonably available, by mutual agreement the interview may be rescheduled to a time when the union steward is available, or the employee may designate another employee who is reasonably available to perform the functions of the Union steward, or the employee may proceed with the interview without such assistance, or the employee may decline to proceed with the interview without such assistance. If the employee chooses to decline to proceed with the interview without such assistance, the Employer may choose to dispense with the interview and may make any disciplinary decision on the basis of other available information without in any way prejudicing its right to issue appropriate discipline if just cause exists. If the Union steward or other employee representative is present for such interview, their conduct shall conform to the parameters established by the National Labor Relations Board.

(b) If requested, any employee interviewed shall provide a written account of the events under investigation, provided that the employee shall have up to 24 hours from the time of the request to submit their written statement following the interview.

(c) If the employee requests, a steward may be present when the employee is presented with a notice of a written disciplinary action. This provision does not apply where the Employer is simply mailing or similarly delivering a disciplinary notice without holding a meeting or discussion of the notice (other than to explain or answer questions about the discipline), nor does it apply where no steward is reasonably available to attend the meeting. When presented with any notice of written disciplinary action, the employee will be required to acknowledge receipt of the disciplinary action but such acknowledgement shall not be an admission or agreement with the matters contained in the notice. If the Employee refuses to sign the acknowledgement of receipt, such refusal shall be
noted on the notice. The employee shall be provided with a copy on request. Such failure to provide a copy of the notice shall not affect the validity of the discipline, but the timeline for filing a grievance will not begin until such copy is provided.

ARTICLE 8 - WORK WEEK, SCHEDULES AND OVERTIME

1. (a) The standard workday in effect (in each facility) as of the date of execution of this Agreement shall remain in effect on a job classification basis for the duration of this Agreement. A temporary increase in the length of the work day shall not create a guarantee of the continuation of the longer day.

(b) Overtime at the rate of time and one-half the employee’s straight time hourly rate shall be paid for all hours actually worked over eighty (80) hours in any two week pay period or over eight (8) hours in a 24 hour period. Provided that if the Employer, the Union, and the employees in a specific department agree, overtime in that department only shall be paid for all hours worked over forty (40) hours in any one week pay period but not for hours worked in excess of eight (8) hours in any one day. After an employee has worked an additional shift or hours, the Employer shall not involuntarily change the schedule for the purpose of avoiding the payment of overtime.

(c) The Employer shall post employees’ schedules or provide employees with a written copy of the schedule at least seven (7) calendar days in advance of the schedule. If the posting is late, the employees shall not be disciplined for call offs and tardiness on the new schedule, which occurred as a result of the late posting, within seven (7) days of said posting.

2. All Employers will pay on a bi-weekly payroll. If the Employer’s payroll system or provider is able to provide, or becomes able to provide, such information during the term of this Agreement, all straight time and overtime hours worked and paid time off accruals, including but not limited to sick days and vacation days available to the employee in the employee’s anniversary year, on each employee’s paystub electronically and on the paper copy. If an Employer is unable to include vacation and sick day accruals on the employee’s pay stub, the employee may request this information from the Employer and the Employer shall provide the information within two (2) business days.

3. An employee who reports prior to the regularly scheduled starting time and departs after the regularly schedule quitting time to allow time for uniform changes or for reasons of personal convenience shall not be entitled to compensation for the early arrival or late departure, but such employee shall not be required to start work before the regularly scheduled starting time or to work after the regularly scheduled quitting time merely by reason of being on the premises.

4. Except in cases of emergency, serious illness or accident, an employee shall notify the Employer at least four (4) hours in advance of his inability to report for work on any regularly scheduled work day. For employees with illnesses which last more than one day, if the employee
is aware that the illness will last more than one day, and reports the specific duration of the absence, the employee shall not be required to continue calling in each day of the absence.

5. Any employee instructed to report for work, and reporting, but not put to work, shall receive four (4) hours pay.

6. The Employer shall not change an employee’s regularly scheduled hours of work during any pay period which includes a Holiday, where the effect of such change will result in an employee receiving less pay during such period than the employee would have received had the hours not been changed.

7. Any employee instructed to report for an in-service meeting on their day off will be paid a minimum of two (2) hours at their applicable straight time rate of pay.

8. **No Pyramiding.** Pay for time not worked shall not be counted as hours worked for any purpose unless expressly provided for by a provision of this Agreement. There shall be no pyramiding or duplication of overtime pay. Hours paid for at overtime rates under one provision of this Agreement shall be excluded as hours worked in computing overtime under any other provision.

9. The Employer shall designate a break room or area where employees may take their breaks, whether paid or unpaid, where they will not be interrupted. In the event an employee is interrupted during an unpaid break, the unpaid break shall be rescheduled for a later time during that shift or the employee shall be compensated for the full thirty (30) minutes of that break. If the Employer cannot provide an area where employees may take uninterrupted unpaid breaks the Employees shall be permitted to leave the facility during unpaid breaks.

10. The Employer will give the Union 30 days’ notice of any proposed change in the starting and ending times of shifts and will, on request, bargain with the Union over the impact of the proposed change. However, at the end of the thirty-day period, the Employer may implement the change regardless of the status of the negotiations.

11. Employees may trade schedules with each other within the same job classification provided the request is made in writing to the supervisor and is approved by the supervisor. Requests to trade schedules shall not be unreasonably denied. Increased overtime for either employee may be a reason for denying the request. If a trade is approved, the employee who agreed to work the shift is subject to the absentee policy for that shift.

12. Employees expected to work in more than one department or job classification will be oriented in those departments and/or jobs and will be included in all in-services for those departments and/or job classifications.

13. Effective January 1, 2006, the Employer shall endeavor, where feasible, to grant all employees at least every other weekend off, except for those employees who voluntarily agree to work a more frequent weekend schedule. Prior to January 1, 2006, the Union and the Employer
shall meet on a facility by facility basis for any facilities which do not currently grant at least every other weekend off, in order to review ways to grant at least every other weekend off. Where the Employer has created shifts and/or hired employees to positions with permanent days off, the arrangements shall not be considered in conflict with this section. The definition of “weekend” shall be as mutually agreed upon during the pre-January 1, 2006 discussions at each facility.

14. In non-emergency situations where additional hours become available on a temporary basis, due to absence, unfilled vacancies, or other similar situations, the Employer shall offer those hours to employees in a fair and equitable manner. Prior to filing a grievance pursuant to an alleged violation of this section, the Union will contact the Employer to discuss the reported problem, and following the discussion, afford the Employer a reasonable period of time to remedy the problem. If the alleged violation continues to occur, a grievance may be filed.

15. No employee shall have their straight-time scheduled hours and/or days reduced in order to have those hours assigned to a new hire, a less senior employee, or a non-bargaining unit employee.

ARTICLE 9 - HIRING
The Employer may secure employees by contacting the Union, for which services there shall be no charge either to the Employer or to the employee. At all times the right of hiring shall remain with the Employer.

ARTICLE 10 - WORK AUTHORIZATION
Federal immigration law requires employers to verify that their employees are lawfully authorized to work in the U.S. Many bargaining unit members are immigrants, who are employed pursuant to various forms of work authorization, e.g. permanent resident card (“green cards”), or temporary work visa. The following provisions are designed to protect jobs to the maximum extent permitted by law.

(a) Work Authorization and Re-verification

The employer shall not impose work authorization verification or re-verification requirements greater than those required by law.

An employee going through the verification or re-verification process shall be entitled to be represented by a Union representative.

The Employer shall provide to the employee written notification when it contends that their work authorization documents or I-9 Form are deficient, or that the employee must re-verify their work authorization, specifying: (a) the specific document or documents that are deemed to be deficient and why the document or documents are deemed deficient; (b) what steps the worker must take to
correct the matter; (c) the employee’s right to have a union representative present during the verification or re-verification process and; (d) any rights which the worker may have in connection with the verification or re-verification process under this Agreement. A copy of this notice will be provided to the Union at the same time it is provided to the employee.

Upon request, the Employer agrees to meet and discuss with the Union the implementation of a particular verification or re-verification process.

The employee shall have the right to choose which work authorization documents to present to the Employer during the verification or re-verification process.

The Employer shall grant up to thirty (30) days’ unpaid leave to the employee in order to correct any work authorization issue. Upon return from leave and remediation of the issue, the employee shall return to his or her former position, without loss of seniority. If the employee does not remedy the issue within thirty (30) days, the Employer, Union and employee may agree to extend the leave period provided that the employee can reasonably demonstrate the ability to remedy the issue with this extension.

(b) SSA No-Match Letters or Other No-Matches

Except as required by law, neither a Social Security Administration “no-match” letter, nor a phone or computer verification of a no-match, shall constitute a basis for taking any adverse employment action against an employee, for requiring an employee to correct the no-match, or for re-verifying the employee’s work authorization. Upon receipt of a no-match letter, the Employer shall notify the employee and provide the employee and Union with a copy of the letter.

(c) Change in Social Security Number or Name

Except as prohibited by law, when an employee presents evidence of a name or social security number change, or updated work authorization documents, the Employer shall modify its records to reflect such change and the employee’s seniority will not be affected. Such change shall not constitute a basis for adverse employment action, notwithstanding any information or documents provided at the time of hire. The employer may not question an employee about work authorization if the employee requests that a union representative be present.

(d) Participation in E-verify and Similar Programs

The employer shall not participate in E-verify or other similar state or local program as part of its re-verification process unless required by law. If participation is required by law, the Employer shall:

1. Provide the Union a copy of its E-verify of other Memorandum of Agreement with the relevant government agency;

2. Shall not use E-verify except for new hires, unless required by law. For purposes of federal E-verify, an employee shall not be considered a new hire as provided in
3. Provide any affected employee 30 days’ unpaid leave to correct a final non-confirmation or similar determination of lack of work authorization; and

4. In the event an employee is terminated as a result of the lawful application of E-verify or similar program, the Employer shall pay the replacement employee the same wage rate as the terminated employee.

(e) Notification of Immigration-Related Audits and Detentions

The employer shall notify the Union as soon as it has knowledge of an immigration audit, and upon request, provide the Union copies of any documents concerning the work authorization of any employee.

The employer shall notify the Union as soon as it has knowledge of any employees detained for immigration-related reasons and provide the Union the name, contact information, and detention location of any detained employee.

(f) Contractor Transition

An employer who takes over the account of another signatory employer may not require the predecessor’s employees to complete 1-9 Forms or be verified through E-verify unless required by law. An employer who loses an account to another signatory employer shall provide the successor with copies of the Form 1-9 file for each bargaining unit member employed at the account.

Employment Records - Within 10 business days of the request, the employer shall provide employees with documents demonstrating the employees’ employment history with the employer and/or at the location.

ARTICLE 11 - VACATIONS

Full time employees who have worked a minimum of 1,800 hours in their anniversary year are eligible to receive paid vacations in the year following the year in which the anniversary of the commencement of their current period of continuous service falls in accordance with the following schedule:

One (1) year of continuous service One (1) week
Two (2) years of continuous service Two (2) weeks
Five (5) years of continuous service Three (3) weeks
Seven (7) years of continuous service Four (4) weeks
Twenty (20) years of continuous service Five (5) weeks

In the event a full time employee has not worked the minimum 1,800 hours, the paid vacation to which such employee would have been entitled shall be pro-rated so that the employee will receive
the same portion of the total vacation that the hours worked by such employee is of 1,800 hours. For purposes of computing hours worked under this Article, all hours for which payment is received (e.g., vacation, holidays, sick leave) shall be deemed hours worked. For employees on Union leave of absence, up to forty (40) hours may be deemed hours worked provided however that employees using vacation time for union leave may not pyramid those hours. Up to eight (8) weeks of workers’ compensation received as a result of an injury at the Employer shall be counted as hours worked for purposes of vacation eligibility during the anniversary year following the employee’s return to work.

The Employer may fix the period when such vacation may be taken, however any vacation “black-out periods” shall not exceed two (2) months in any six (6) month period. Each facility shall establish their own systems and deadlines for vacation requests and approvals. In the event of a conflict between employees over choice of vacation dates, seniority shall prevail. Vacation requests cannot be unreasonably or arbitrarily denied.

The length of an employee’s continuous service shall be defined by the Seniority Article of this Agreement.

All vacations shall be with pay at forty (40) hours a week except as provided in Section 1. An employee, at the Employer’s option, may work through his vacation period and receive vacation pay as a year-end bonus. All vacation benefits will be paid or used by the employee prior to such employee’s next anniversary and may not be carried over to succeeding years. Vacation pay is due no later than the week preceding the first week of vacation.

**ARTICLE 12 - HOLIDAYS**

1. Qualified employees shall be entitled to the following paid holidays in each year; namely, Martin Luther King Jr., Memorial Day, Independence Day, Labor Day, Thanksgiving Day, New Year’s Day, Christmas Day, the Employee’s Birthday for those employees with five (5) years or more of continuous service. The Birthday Holiday may be taken any time in the month of the employee’s birthday. Any time worked on these days shall be paid at double the regular rate of pay.

2. Employees shall be notified at least one (1) week in advance as to whether it shall be necessary for them to work on any of the holidays specified.

3. To qualify for holiday pay:
   a. the employee must have completed his or her probationary period prior to such holiday
   b. the employee must work on all of the employee’s last scheduled work day before the holiday (except as provided below) and all of the employee’s first scheduled work day after the holiday, and the holiday if scheduled.
c. if the employee is tardy on the last scheduled work day prior to the holiday, and/or the holiday itself, and/or the first scheduled work day after the holiday, said employee may be disciplined as provided for under Appendix A of this Agreement.

d. if the employee is tardy the last scheduled work day prior to the holiday, and/or the holiday itself, and/or the first scheduled work day after the holiday, said employee may be docked from their holiday pay up to the accumulated amount of time tardy on all three days, excluding grace periods as set forth in Appendix A of this Agreement, as calculated as follows: the actual amount of time tardy on the last scheduled day before and the first scheduled day after the holiday plus two (2) times the actual amount of time tardy on the holiday itself.

e. In addition to the penalties set forth in subsections c and d above, if the total time docked as calculated above totals three (3) hours or more, the employee will forfeit eligibility for holiday pay.

f. Notwithstanding the provisions above, where an employee has made arrangements with the Employer for a later start time such later start time shall not be considered as tardy.

g. An employee who works at least five hours of the last scheduled work day before the holiday, and who receives specific permission from the employer to leave early shall still receive holiday pay if otherwise eligible for the holiday pay.

4. If a holiday falls on an employee’s day off, or during the employee’s vacation period, such employee shall receive an extra day off or an extra day’s pay, whichever the employee may prefer, provided that the employee gives the Employer notice at least three (3) weeks prior to the start of their vacation that the employee prefers an extra day of vacation. If the notice is not given, the employee will receive the extra day’s pay.

**ARTICLE 13 - MEALS**

All employees coming under this Agreement shall receive one (1) free hot meal each working shift, provided they have given one week’s advance notice to Employer that they desire such meals in any week. Where feasible, the Employer will provide an option of a hot meal or the means for an employee to warm their food.

**ARTICLE 14 - TERMINATION AND SEVERANCE PAY**

1. The Employer may discharge or discipline employees only according to the Work and Safety Rules and Regulations or other just cause.

2. The Employer shall within two (2) business days after notice of dismissal to any regular employee also give notice of such dismissal to the Union.
3. If any Employee is placed on a suspension pending investigation for an alleged violation, such Employee will not be paid for lost time if the violation proves to be true. However, if the investigation proves to be inconclusive, the Employee would be reimbursed for any and all lost wages incurred during the suspension at their regular straight time rate of pay. The employee will not be reimbursed for any hours that would have been considered overtime.

ARTICLE 15 - VOTING TIME

If a request is made to the Employer for time off for voting at least three (3) days in advance of any national, state or municipal election, it shall be mandatory upon the Employer or his representative to grant the employee two (2) hours off with pay for the purpose of casting their vote in all national, state or municipal elections. Proof of casting a ballot (ballot stub) will be required to receive pay.

ARTICLE 16 - GRIEVANCE AND ARBITRATION

1. The differences or disputes over the interpretation or application of the provisions of this Agreement shall be adjusted in the following manner:

   **Step 1:** All grievances must be filed in writing with the Employer’s administrator or designee within fourteen (14) calendar days of the occurrence of the event giving rise to the grievance or within fourteen (14) calendar days of when the employee learned or should reasonably have learned of the occurrence of the event giving rise to the grievance. Any grievance not filed within the time limits set forth in this Section is barred. The Union and Employer shall hold a meeting within fourteen (14) calendar days of the filing of the grievance to discuss the grievance at Step 1. Within seven (7) calendar days of the meeting, the Employer shall answer the grievance in writing to the Union Representative.

   **Step 2:** If the Union is not satisfied with the Employer’s answer in Step 1, it may within seven (7) calendar days of the Employer’s answer appeal the grievance to Step 2 of the procedure by appealing in writing to the representative previously designated by the Employer. If the grievance is not appealed in writing within seven (7) calendar days of receipt of the written answer in Step 1, it is barred. The Union and the Employer shall hold a meeting to discuss the grievance at Step 2 within fourteen (14) calendar days of the filing of the Step 2 appeal. Within seven (7) calendar days of the meeting, the Employer’s designated representative shall provide a Step 2 answer to the grievance in writing to the Union Representative. At the conclusion of Step 2, if the dispute of the parties is not resolved, the parties may mutually agree to use a mediator from the Federal Mediation and Conciliation Service (FMCS) to assist in resolving the outstanding grievance. This provision does not affect the rights of the parties, or the timelines set forth below, with regard to arbitration.

   **Arbitration:** Within thirty (30) calendar days of receipt of the Employer’s written answer at Step 2, the Union, and only the Union, may appeal the grievance to arbitration. To be timely, the Union must notify the Employer in writing of its intent to arbitrate the dispute and the Union shall request
from the Federal Mediation and Conciliation Service a list of seven (7) arbitrators, all of whom shall be members of the National Academy of Arbitrators from the Chicago Metropolitan area. The parties shall select the arbitrator from that list by alternately striking names until one name remains. The Employer shall have the opportunity to strike first. Each party shall have the right to reject one entire panel, provided that party so notifies the other party within seven (7) calendar days of receipt of the panel and simultaneously files for a replacement panel with the Federal Mediation and Conciliation Service. Separate grievances shall not be joined in a single arbitration except by mutual written agreement of the parties.

2. Any written settlement shall be binding between the Union, the Employer, and the employee(s).

3. The Employer shall designate a representative(s) to handle the steps of the grievance procedure, and shall inform the Union of the appropriate representative and any contact information, and of any changes in that representative and the Union shall inform the Employer of the appropriate representative and contact information and of any changes in that representative. The Employer may also wish to inform the Union that it does not wish to appoint a representative for either step, in which case the Union’s time limit for appealing to arbitration shall be from the date of the written answer in the previous step.

4. The arbitrator’s decision shall be final and binding on the Employer, the Union, and the aggrieved employee(s). The arbitrator shall be bound by the express provisions of this Agreement and shall not have the power to add to, subtract from, or modify any of the express provisions of this Agreement.

5. The arbitrator’s fee and expenses shall be shared equally by the employer and the Union. The filing fee, if any, and the place of holding the hearing will be covered by the Union. Each party shall be responsible for compensating its own representatives.

6. In cases involving the discipline or discharge of an individual employee, the parties may mutually agree to the following steps to expedite the conduct of the hearing: Both parties will use non-attorney representatives to present the case. The hearing will be conducted in one day with both parties having equal time. There shall be no written briefs filed unless mutually agreed otherwise. No court reporter will be used. The arbitrator will issue a brief written award summarizing the reasons for his/her decision. Such cases shall have no precedential value and may not be relied upon in any other arbitration.

7. The Employer and the Union, at their option and expense, may request the use of a court reporter during arbitration (except as set forth in Section 6). If the other party declines to pay one-half of the cost of the court reporter, they shall forfeit all rights in the court reporter’s transcript.

8. Nothing in this Agreement shall require the Union to represent an employee if the Union considers the grievance to be invalid or without merit.
9. Any mutually agreed extension of the time limits set forth in this Article shall be reduced to writing and shall be to a specific date or event. A request for an extension of a time limit by either party shall not be unreasonably denied.

10. Notwithstanding the provisions of Section 1, above, if an employee has a grievance over an error in their rate of pay, compensation, or the receipt of an economic benefit, the employee may file a grievance at any time within ninety (90) calendar days of the occurrence of the event giving rise to the grievance or within ninety (90) days from which the employee learned or should reasonably have known of the events giving rise to the grievance. Any such grievance not filed within such ninety (90) calendar day period is barred.

**ARTICLE 17 - NO STRIKE - NO LOCKOUT**

1. The Union will not cause or permit its members to cause, and will not encourage, support, or sanction in any way any strike, slowdown, unfair labor practice strike, sympathy strike, work stoppage, or any other concerted interference with work, nor shall there be any lock out by the Employer during the term of this Agreement. Informational picketing is prohibited during the term of this Agreement, except after notice has been given to re-open or re-negotiate this Agreement in which case it will be permitted with proper legal notice. In the event of any action in violation of this section and upon request of the Employer, the Union will promptly notify all such employees of its disapproval of such violations and shall undertake all additional reasonable means to induce such employees subject to this Agreement to cease such action, including:

(a) Publicly disavowing such action by the employees or other persons involved;

(b) Advising the Employer in writing that such action has not been caused or sanctioned by the Union;

(c) Posting notices on Union bulletin boards in the facility stating that it disapproves of such action and instructing all employees to cease such action and return to work immediately; and

(d) Taking all such other steps as are reasonably appropriate to bring about observance of the provisions of this Article.

2. Where such means and steps have been undertaken by the Union, neither the Union nor any of its officers or representatives shall be liable for damages resulting from such actions or violations. The Employer reserves the right to discipline any employee taking part in any violation of this section, including discharge of such employee, provided however that any Employee covered by this Agreement may refuse to report to work at any other facility of the Employer at which there is then existing an authorized strike or picketing by or on behalf of the Union, and such refusal by any such Employee shall not constitute grounds for discipline by the Employer.
ARTICLE 18 - JURY DUTY AND WITNESS APPEARANCE

Any employee called as a juror or witness will be granted excused absence with pay for the period necessary, provided that when the employee receives their check for jury duty payment or witness fees, they endorse it to and remit the same to the Employer. The employee shall be entitled to retain any mileage or other expenses paid as a result of such service or appearance.

ARTICLE 19 - HEALTH AND WELFARE

1. Effective [date] thru April 30, 2022, the Employer shall contribute to the Fund a rate as determined by the Plan’s Board of Trustees for each full-time employee covered by this Agreement who has been on the Employer’s payroll for more than 30 days. Full-time employees shall make a premium contribution to the Fund per month for each plan offered in an amount determined by the trustees. At the time of ratification of this Agreement, the trustees have pre-determined the 2021 employer and employee monthly contribution rates as follows:

   Employer:

   January – December 2021  $190.00

   Employee:

   January – December 2021  HMO $9.10

                      ADV  $65.00

2. All contributions shall be sent to the office of the aforesaid Health and Welfare Fund not later than the twenty-fifth (25th) day of the month in which they became due.

3. The parties agree to be bound by all of the terms and conditions of the Declaration of Trust of the Local No. 4 S.E.I.U. Health and Welfare Fund including, but not limited to, the appointment of trustees and their successors. The employer recognizes that the Board of Trustees has the sole power to construe the provisions of the Declaration of Trust, the benefit plan, and the related rules and regulations, if any, and that all constructions, interpretations, and determinations made by the Health and Welfare Trustees shall be final and binding on all parties.

4. Any Employer failing to make prompt and timely payment of the contribution in accordance with this Agreement shall be liable for claims to the extent of benefits to which the employee would have been entitled if the Employer had made the required and timely contribution. Additionally, such delinquent Employer shall be liable for all contributions due, plus all reasonable legal fees incurred in enforcing the payment thereof.
5. It shall be considered a violation of this Agreement for any Employer to fail to pay or comply with any provision of this Article, or any rule or regulation of the Fund. The delinquency and/or failure of the Employer under this Article shall not be a subject of grievance or arbitration.

6. As defined in Article 34 of this Agreement, any subcontracting agreement executed by the Employer must comply with the terms of this Agreement.

7. Part-time employees, as defined in Article 26 of this Agreement, may elect to be covered by the Health and Welfare Fund. Each part-time employee covered by this Agreement who has been employed for more than thirty (30) days who voluntarily elects in writing to be so covered shall contribute the sum of Thirty-Five Dollars ($35.00) per month to the Local No. 4 S.E.I.U. Health and Welfare Fund by payroll deduction. The Employer shall pay the Fund the difference of the full-time employee contribution for each part-time employee who elects in writing to be covered.

8. Part-time employees who do not elect to be covered by the Health and Welfare Fund and casual employees, as defined in Article 26, shall not be covered and the Employer shall have no obligation to make contributions to the Fund for such employees.

9. For such period of time between August 1, 2021 and the time employees become eligible for coverage under the Local No. 4 SEIU Health and Welfare Fund, those employees who previously elected benefits pursuant to Article XVII (“Health Care Benefits”) of the collective bargaining agreement between JCFS Chicago, JCC, Chicago, CJE SeniorLife, JUF Chicago, JFMC Facilities Corp. and SEIU Local 73, CTW in the month immediately preceding the effective date of this Agreement, will be eligible for health care coverage afforded by the Employer to its salaried staff, subject to the terms and conditions of the applicable plan document(s), which is (are) incorporated by reference herein. During such time, eligible employees will receive a payroll credit of $185, subject to applicable withholdings, per each full month until they become eligible for benefits under the Local No. 4 SEIU Health and Welfare Fund.

ARTICLE 20 - RETIREMENT

1. During the term of this Agreement, all eligible (as defined in the Plan) bargaining unit employees who qualify for such coverage may participate in the Employer’s 401(K) Plan as that Plan may from time to time be amended in accordance with the Plan. The rights of the employees to the benefits of such Plan shall be as defined and limited by the provisions of such Plan and the rules and regulations applicable thereto as may be duly and lawfully established in accordance therewith.

2. The Plan as initially adopted permits employees to enroll in the Plan upon completing three (3) months of service, with the ability to enroll the first day of each quarter (January 1, April 1, July 1, and October 1). Such Plan further includes a match of 25% of up to 8% of the Employee’s contribution. Under the Plan, Employee’s contributions and any earnings are 100% vested; the
value of Employer matching are vested based on the following schedule: 1 year, 20%; 2 years, 40%; 3 years, 60%; 4 years, 80%; 5 years, 100%.

ARTICLE 21 - WAGES

Ratification Increase: Upon ratification of the parties’ Agreement, the Employer agrees to implement the greater of a one-time wage increase of $0.35 per hour for all non-CNA positions or $15.50, and a one time wage increase upon ratification of $0.75 per hour for all CNA positions.

1. (a) The wage rates set forth in this Agreement are minimum wage rates. No wage rate shall be paid below these minimums to probationary employees or any employee in any classification. Nothing in this Agreement shall be defined as preventing the Employer from paying above the minimum rates, granting additional wages, or paying differentials above those set forth in this Agreement.

(b) If the Employer hires a new employee at a higher wage rate than an existing employee(s) with the same or comparable qualifications and experience, the Employer shall raise the wage rate of any such existing employee(s) to the rate of pay of the new hire.

(c) In the event the Employer decides to implement special incentive pay, bonuses or differentials, temporary incentives, or other additional compensation (other than higher start rates or higher raises) where there is a reasonable business reason for doing so, the Employer shall (1) provide notice to the Union, and (2) upon request, meet to discuss the proposal with the Union, but such meeting will not delay implementation. Following this discussion, the Union may grieve the changes. The sole issues in the grievance shall be whether the change was fair and equitable and/or whether there was a reasonable business reason for making the change.

2. For purposes of this Article 21 only, all employees shall have their actual date of hire as their anniversary date.

3. If, at any time during the term of this Agreement, one or more of the following take place: (1) the State of Illinois or the federal government reduces the Medicaid and/or Medicare reimbursement rates and/or imposes additional surcharges or payments; (2) there are newly implement state or federal laws, regulations or programs that adversely affect the cost of providing health insurance; or (3) the State of Illinois or the federal government imposes any form of additional labor-related expense, including but not limited to a minimum wage increase or additional mandated staffing requirements; then the Employer shall have the right to reopen this Agreement regarding only wage increases and/or contributions to the Health and Welfare Fund. If the Employer wishes to reopen negotiations pursuant to this provision, it shall provide the Union with written notice. Promptly after such notice, the parties shall meet for the purpose of negotiating over such issues. If the parties cannot reach agreement on such issues within 30 calendar days of the commencement of such negotiations, then the Employer shall have the right to request arbitration under Article 16 of this Agreement. The parties may elect to extend the negotiating period for an additional 30 calendar days by mutual agreement.
In such arbitration, the issue before the arbitrator shall be what, if any, modifications there will be to the agreed-upon increases and/or payments to the Health and Welfare Fund, and the Employer shall have the burden of proving that proceeding with or continuing the agreed-upon wage increases and/or payments to the Health and Welfare Fund would cause an undue burden on the employers, given the circumstances that gave rise to the reopener. The arbitration hearing shall occur no later than 60 days after the selection of the arbitrator and the arbitrator shall issue his/her decision no later than 30 days of the close of the arbitration hearing. The arbitrator’s failure to render a timely decision shall not negatively impact either party.

If the Employer does not request arbitration in writing submitted to the Union with in forty five (45) days after commencement of reopener negotiations or the mutually agreed-upon extension, whichever is later, then the wage increases and/or payments to the Health and Welfare Fund shall be as otherwise set forth in this Agreement. The issues before the arbitrator shall not pertain to any prior calendar year(s), and shall be limited to the current calendar year, as determined by the date of the original notice of reopener negotiations, as well as following in this agreement pending the arbitrator’s decision. The arbitrator shall have no authority to order employees or the Health and Welfare Fund to reimburse any employer for money already received, however any reductions ordered by the arbitrator shall be applied to all employees prospectively from the date determined by the arbitrator, including those employees that may have already received any increases. The Union shall not have the right to strike, notwithstanding the Employer’s election to reopen this Agreement, provided that all of the terms of this provision are followed.

4. No current employee will have his/her current wage rate reduced as a result of this wage schedule. The following wage schedule sets forth minimum wage rates where such titles exist and are represented by the Union:

Effective August 1, 2021 through April 30, 2022:

<table>
<thead>
<tr>
<th>Pay Grade</th>
<th>New Hire</th>
<th>1 year</th>
<th>2 years</th>
<th>3 years</th>
<th>4 years</th>
<th>5 years or more</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 – all employees</td>
<td>$16.00</td>
<td>$16.20</td>
<td>$16.40</td>
<td>$16.60</td>
<td>$16.80</td>
<td>$17.00</td>
</tr>
<tr>
<td>2 – all employees</td>
<td>$15.50</td>
<td>$15.70</td>
<td>$15.90</td>
<td>$16.10</td>
<td>$16.30</td>
<td>$16.50</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Job Titles Pay Grade 1</th>
<th>Job Titles Pay Grade 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Activity Aide w/ CNA Certificate</td>
<td>Bed Maker</td>
</tr>
<tr>
<td>Certified Nurse’s Assistant</td>
<td>Dietary Aide (Nutrition Aide, Dining Room Aide, Dishwasher, Kitchen Aide)</td>
</tr>
<tr>
<td>Mental Health Aide</td>
<td>Hostess</td>
</tr>
<tr>
<td>Resident</td>
<td>Environmental Service</td>
</tr>
<tr>
<td>Assistant/Attendant with CNA Certificate</td>
<td>Worker</td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>--------</td>
</tr>
<tr>
<td>Ward Clerk</td>
<td>Housekeeping employees</td>
</tr>
<tr>
<td>Personal Care Assistant</td>
<td>Laundry employees</td>
</tr>
<tr>
<td>Resident</td>
<td>Patient escort</td>
</tr>
<tr>
<td>Assistant/Attendant</td>
<td>Receptionist</td>
</tr>
<tr>
<td>Lead CNA/Team Leader/Preceptor*</td>
<td>Resident Security</td>
</tr>
<tr>
<td>Psychosocial Aide with CNA Certificate</td>
<td>Watchman</td>
</tr>
<tr>
<td>Medical Records Clerk</td>
<td>Smoking Monitor</td>
</tr>
<tr>
<td>Rehab Aide (Occupational Rehab Aide, Physical Rehab Aide, Rehab Tech)*</td>
<td>Activity Aide</td>
</tr>
<tr>
<td>Respiratory Aide</td>
<td>Psychosocial Aide</td>
</tr>
<tr>
<td>Social Services*</td>
<td>Floor Tech</td>
</tr>
<tr>
<td>Lead Housekeeper</td>
<td></td>
</tr>
<tr>
<td>Maintenance</td>
<td></td>
</tr>
<tr>
<td>Cook</td>
<td></td>
</tr>
</tbody>
</table>

* Wage rates for Employees in these classifications shall be $.50 more than the Pay Grade 1 minimum rate for each step and included in rates for such employees above the scale and shall be treated as separate pay grade for purposes of subsections (a) - (d) below. The $.50 increase for the Preceptor or other trainer, unless such position is a full-time training position, shall only apply to hours where training is being performed by the employee.

(a) For each day an employee works in a higher pay grade, in addition to their regular hourly rate, they shall receive the difference between their regular pay grade and the higher pay grade per hour.

(b) If an employee works in a lower pay grade, they shall receive their regular hourly rate.

(c) An employee who bids into and is awarded a position in a higher pay grade pursuant to Article 24 of this Agreement shall receive an hourly wage increase equal to the difference between the starting wage rate of their original pay grade and the starting wage of their new pay grade.

(d) Initial placement on the scale and subsequent wage increases:

i. For new employees, if the employee is given credit for experience for the purposes of wage scale placement, then the employee shall be placed on the appropriate step which corresponds with such credited experience.
ii. Employees shall move to the next step on the wage scale on their anniversary date each year and move to the same step in each subsequent year. An employee at the top step shall not receive anniversary increases, but be placed on the top step on May 1st each year, except as provided for in subsection (e).

(e) Exceptions:

i. Employees whose rate of pay is above that of step 5 before the above adjustments on May 1, 2020 shall receive an increase of $2.00 per hour. Employees whose rate of pay is above that of step 5 before the above adjustments on May 1, 2021 shall receive a 4% increase.ii. Employees with less than five (5) years of service who would not otherwise receive an increase in their wage rate of at least fifty cents ($0.50) in their wage due after scale adjustments effective May 1, 2020 or May 1, 2021 shall receive a minimum increase of $.50 per hour in the base rate of pay each year on May 1.

5. Provided the employee has submitted accurate information in a timely manner, if an employee is underpaid by an amount of four hours’ pay or more due to an error by the Employer, the employee will be paid within 2 business days (excluding Saturday, Sunday, and contractually recognized holidays) of the date the employee informs the Employer of the error. Any repayment of amounts less than 4 hours’ pay may be delayed until the following regularly scheduled payday. It is understood that the time limits and amounts herein are minimum standards, and nothing in this section shall prevent the Employer from issuing repayment in smaller amounts or on a faster timeframe than those contained herein.

6. The Employer shall designate a day and time for distribution of paychecks. The Employer shall not withhold pay for the purpose of compelling attendance at meetings or as an act in lieu of discipline. In the event the employer anticipates changes in the pay period or pay day, the Employer shall give no less than seven (7) days’ notice to the Union of such changes.

ARTICLE 22 – SICK PAY

1. (a) Employees employed by Lieberman/CJE as of July 31, 2021 and thereafter hired by the Employer shall accumulate sick leave at the rate of eight hours for each 61 days employed and may accumulate up to a maximum of thirty (30) days of paid sick leave. Employees not previously employed by Lieberman/CJE as of August 1, 2021 and hired thereafter shall accumulate sick leave at the rate of eight hours for each 73 days employed and may accumulate up to a maximum of thirty (30) days of paid sick leave.

(b) Employees with at least three (3) years of service with at least six (6) sick days balance may, upon not less than two weeks prior notice, or seventy-two (72) hours prior notice in cases of emergency, use one (1) accumulated sick day as a personal day per employment year.

(c) Employees with at least ten (10) years of service with at least six (6) sick days balance may, upon not less than two weeks prior notice, or seventy-two (72) hours prior notice in cases of emergency, use two (2) accumulated sick days as personal days per employment year.
(d) Notwithstanding the annual restrictions above an employee who has reached the maximum allowable accrual of sick days may, upon not less than two (2) weeks’ notice, or seventy-two (72) hours prior notice in cases of emergency, use one accumulated sick day as a personal day, as frequently as an additional day is earned.

(e) The balance and notice requirements above do not pertain to use of sick days if used for sickness.

2. (a) Sick pay will be payable commencing with the first day that an employee is off for sickness or accident. Employees shall not be required to produce doctor’s notes for short-term illness (absence of less than three scheduled workdays). For any illness of three days or longer, the Employer may require submission of a doctor’s certificate for payment of sick pay.

(b) Sick days are to be taken only for bona fide illness or injury. Any false claims for sick days or sick pay shall subject the employee to discipline, including possible termination, subject to just cause.

3. Except as set forth in this paragraph, no unused sick days will be paid out. In the event an employee resigns and gives two weeks advance notice of the effective date of such resignation and continues working to the effective date of the resignation if requested by the Employer, the following percentages of accumulated unused sick pay will be paid to such employees only with such payment to be made within thirty (30) days of the effective date of such resignation:

Employees having more than five (5) full years of continuous employment and less than seven (7) full years of continuous employment with the Employer shall be paid an amount equal to 50% of such employee accumulated, unused sick pay as of the effective date of such resignation.

Employees having more than seven (7) full years of continuous employment with the Employer shall be paid an amount equal to 75% of such employees accumulated, unused sick pay as of the effective date of such resignation.

Employees having more than ten (10) full years of continuous employment with the Employer shall be paid an amount equal to 100% of such employee’s accumulated, unused sick pay as of the effective date of such resignation. No payment for accumulated sick pay shall be made to any employee who is terminated by the Employer or who leaves without giving the required two (2) weeks advance notice of such resignation.

4. To the extent allowed by law the Union and the Employers agree to opt out of Municipal Code of Chicago Chapter 1-24 (related to Sick Leave) and the Cook County Sick Leave Ordinance Section 42-2 and use this Article in its place.

ARTICLE 23 - LEAVES OF ABSENCE AND MILITARY SERVICE

1. Employees with one or more years of continuous service may be granted a leave of absence without pay for a period of (90) calendar days or less without loss of seniority provided a written
application is submitted to the Employer including the reason for the request. Such request shall not be unreasonably denied. Any extension of such leave shall be at the discretion of the Employer and shall cause the employee to reduce his/her seniority by the amount of days of the extension, unless the leave or extension is required by law.

2. Employees with not less than six (6) months of current continuous service shall be eligible for leave of absence for illness (including pregnancy) or injury for a period of 12 months or the employee’s length of service when the absence began, whichever is shorter (unless a longer period is required by law). Such leave shall begin when the employee is no longer able to perform the required work and shall end when the employee is again able to perform the required work. The Employer may require medical certification of the employee’s inability to perform the work and/or the employee’s ability to return to work.

3. If an employee covered by this Agreement shall be called for active duty in the Army, Navy, Marine Corps, or any other branch of the United States military service, their rights to their job, upon return, shall be served in accordance with the recognized law of the land on this subject.

4. The provisions of the Agreement shall be interpreted and applied in conformance with all applicable requirements of the Federal Family and Medical Leave Act (“FMLA”). To the extent any provision of this Agreement or any policy or practice of the Employer is contrary to the FMLA, such provision, policy, or practice shall be deemed modified so as to conform to the requirements of the FMLA. In the event an employee takes a leave of absence for which he/she is eligible pursuant to the FMLA and not pursuant to a specific provision of the Agreement, the employee must first exhaust all unused vacation time towards the twelve (12) weeks FMLA period.

**ARTICLE 24 – SENIORITY**

1. Newly hired employees shall be probationary employees until they have completed sixty (60) calendar days of continuous service with the Employer. Upon notification to the employee and the Union, there may be an extension of this probationary period for up to an additional thirty (30) calendar days to enable the Employer to properly train, in service and evaluate the employee if necessary. After an employee has completed the probationary period, their seniority shall date from the beginning of the probationary period. Grievances shall not be presented by or on behalf of any probationary employee for any reason except an alleged improper payment of wages or applicable economic benefit.

2. Seniority shall be defined by the length of continuous employment with the Employer and with predecessor operators at the same location. Seniority and employment shall be lost only as follows:

   (a) Discharge for just cause;
   (b) Voluntary quit;
   (c) Layoff for a period of 12 months or the employee’s length of service when the absence began, whichever is shorter;
(d) Failure to return to work upon expiration of an authorized leave of absence without the permission of the Employer;
(e) Failure to report after a layoff within five (5) work days after the Employer sends to the last known address, by registered or certified mail, a written notification to return to work; or within three (3) work days after the Employer sends to the last known address, by telegram, a written notification to return to work (with copies to the Union in each instance);
(f) Absence for two (2) consecutive scheduled days without prior notification to the Employer unless an emergency prevented the employee from giving such notice.

3. (a) In the event of a layoff, the least senior employee in the affected job classification shall be laid off first, provided the remaining employees possess the necessary skill, experience, qualifications and work record to perform the available work. The laid off employee shall be given the opportunity to transfer to a vacant position on another shift or to displace a less senior employee on another shift in the same classification, or to displace the least senior employee in another classification, provided the laid off employee possesses the necessary skill, experience, qualifications and work record to perform the available work. Laid off employees who retain seniority, (including those employees who bump into another classification or transfer to another shift), shall be recalled from layoff in the reverse order in which they were laid off before any new employees are hired into the affected job classification.

(b) When the Employer determines that a layoff is likely, the Employer shall provide at least five (5) days’ notice or five (5) days’ pay to the affected employees.

(c) For layoffs anticipated to exceed thirty (30) consecutive calendar days, the Employer shall provide at least five (5) days’ notice or five (5) days’ pay to the employees and the Union, and, upon request, promptly meet with the Union to bargain over the impact of the layoff and to discuss reasonable alternatives but such discussion shall not delay the implementation of the layoff.

(d) For layoffs anticipated to exceed thirty (30) consecutive calendar days (or for shorter periods if mutually agreed by the employer and the union), any employee laid off shall be given the option of bumping a less senior employee in any other classification provided the laid off employee possesses the necessary skill, experience, qualifications and work record to perform the available work (all aides including rehab and CNA shall be considered in the same department provided they have the necessary certification). Any employee laid off may apply for vacant positions in another department under the provisions of Section 5.

4. When employees are transferred to another shift, the employee or employees with the least seniority shall be transferred, provided the employee or employees are able to perform the duties required. An employee with greater seniority may be transferred if they had previously indicated their desire for such a transfer.

5. In the event of a new job opening or a permanent vacancy that the Employer decides to fill, the Employer shall post the position for a period of five (5) days. During such period the Employer may fill the position temporarily in any manner it chooses. The posting shall include job title/and/or classification, a summary of the job duties, the required or desired prerequisites and/or qualification, the possible hours and shift, and the rate of pay. Any employee interested in being considered for the position may submit an application for the position to the Administrator or the
Administrator’s designee at any time. Employees who apply for or bid on the position shall be considered in light of their seniority, skill, experience, qualifications, and work record. Where the factors listed are relatively equal, the position shall be awarded to the most senior employee. The employee awarded the position shall then be given a reasonable trial period of up to five (5) working days. If the employee informs the Employer within two (2) working day in the new position of his/her desire not to continue in the new position, or does not successfully complete the five-day trial period, the employee shall be returned to his/her prior position.

6. For all bargaining unit employees hired by the Employer on August 1, 2021 and who were previously employed by Lieberman/CJE, seniority shall be defined as continuous service with the predecessor employer, Lieberman/CJE.

ARTICLE 25 - BEREAVEMENT

1. In the event of the death of an employee’s father, mother, brother, sister, father-in-law, mother-in-law, brother-in-law, sister-in-law, spouse, child, step-child, maternal or paternal grandparents, or grandchild, employees with more than sixty (60) days of employment will be entitled to three (3) days off to attend to the funeral or memorial service, with up to twenty four (24) hours of pay at their regular straight time rate. One (1) additional day may be granted by the Employer for extenuating circumstances such as an out of state funeral.

2. In order to obtain payment in the event of a death, the employee may be required, if deemed appropriate by the Employer, to present evidence of the death and of the relationship of the deceased in form satisfactory to the Employer.

ARTICLE 26 – FULL-TIME AND PART-TIME EMPLOYEES

1. Full-time employees are employees who work thirty (30) hours or more in any work week.

2. Part-time employees are employees who work seventeen (17) hours or more, but less than thirty (30) hours in any work week.

3. Casual employees are employees who work less than seventeen (17) hours in any work week, and are not eligible to participate in the Health and Welfare or Pension Funds within the meaning of this Agreement.

4. Part-time and Casual employees shall be paid at the same wage rate as other employees in the same classification and shall receive prorated fringe benefits such as sick pay, vacation pay, holidays, death benefits, etc.

5. All Part-time employees shall be given an opportunity to become Full-time employees as Full-time vacancies occur. Such requests shall be presented in writing to the Employer.
ARTICLE 27 - SAVINGS PROVISION

Should any part of this agreement or any provision contained herein be declared invalid by operation of law by a tribunal of competent jurisdiction, it shall be of no further force and effect, but such invalidation of a provision of this agreement shall not invalidate the remaining portions and they shall remain in full force and effect. In such event, the Employer and the Union will at the request of either party herein, promptly enter into discussions relative to the particular provision(s). Any agreement arising from such discussions shall be reduced to writing and signed by both parties.

ARTICLE 28 - DURATION

This Agreement shall become effective as permitted by law as of August 1, 2021 and shall continue in full force and effect to and including April 30, 2022.

ARTICLE 29 - MISCELLANEOUS

1. Each employee shall have a total of twenty (20) minutes of break time during each regularly scheduled shift, the time or times of each break to be determined by the Employer. Employees’ personal cell phones or similar devices may only be used in the facility in designated break and lunch areas during their breaks and lunch periods.

2. The Employer shall provide two uniforms without charge to all employees upon completion of the probationary period; thereafter, employees may purchase the uniforms at cost through the Employer’s supplier.

3. Union Representatives and Stewards shall treat management representatives with dignity, respect and civility at all times. Management representatives shall treat Union representatives and members of the bargaining unit with dignity, respect and civility at all times.

4. Employees shall not be disciplined for speaking a language other than English during breaks and lunches.

5. It is in the mutual interests of both Union and Management to promote a safe and nurturing environment for all residents. Employees must follow the facility policies for reporting incidents with allegations of abuse and neglect of any resident or patient. All such allegations of abuse or neglect will be taken seriously and any failure to report such allegations shall be addressed accordingly. Employees who follow the facility policies will not be disciplined for providing information to a state or governmental agency regarding the abuse or neglect of any resident or patient. Retaliation against any employee who cooperates with an investigation into allegations of abuse or neglect is strictly prohibited.

6. The Employer agrees to provide a safe and healthy work environment for all employees. In the event that an employee believes that additional assistance is needed for caring for a resident
and dealing with family member and/or visitor who has exhibited a pattern of violent behavior, the employee may request assistance from his/her supervisor or the Administrator. When the need for assistance is confirmed by the supervisor or Administrator, the assistance will be provided promptly. If the supervisor or Administrator denies the request for additional assistance, they shall do so in writing, and the employee shall have the right to request a changed assignment because of the employee’s safety concerns. The Employer agrees to maintain a program of infectious and communicable disease control and employees are expected to cooperate with this program and follow proper resident care procedures. The Employer agrees to advise employees when there is exposure to infectious disease and direct employees in the appropriate resident care procedures.

7. If staffing is calculated to be below state staffing minimums, the Administrator or designated supervisors will confer with affected staff to prioritize resident care plans. Staff will be expected to make their best efforts to complete as much of the care plan as reasonably possible in light of the short staffing level. Staff who follow said prioritization shall not be disciplined for unreasonably failing to complete care plan tasks.

ARTICLE 30 - COOPERATIVE LEGISLATIVE ACTION

The Employer agrees to grant up to three (3) employees at each facility covered by this agreement up to three (3) paid leave days per calendar year for the general purpose of lobbying, public action and advocacy to any state of federal government, legislature or congress on issues related to healthcare or nursing home funding and other beneficial legislation as agreed to by the Employer and the Union. The Union shall designate in writing to the employer the employees requesting such leave at least fourteen (14) calendar days in advance, unless mutually agreed upon. Leave requests shall consider the Employer’s operational needs but shall not be unreasonably denied by the Employer. The Employer shall communicate promptly with the Union concerning any difficulties in granting leave requests. Employees on paid leave described in this section shall receive their regular straight time rate of pay for their scheduled hours on that day. Such time shall not be counted for the purpose of overtime. All travel and meal expenses shall be the responsibility of the Union.

ARTICLE 31 - COPE DEDUCTION

The Employer agrees to honor and to transmit to the union, SEIU Local 73, CTW COPE Fund contribution deductions from the employees who are members and who sign deduction authorization cards. The deductions shall be in the amounts and with the frequency specified on the political contribution deduction card. The deductions shall be remitted to the Union monthly. The Union agrees to indemnify, defend, and save the Employer harmless against any and all claims, suits, or other forms of liability arising out of the deduction of money for the COPE Fund from the employee’s pay or from any other liability of any nature on account of the Employer’s compliance with this Article. The Employer shall promptly notify the Union of any such claim, suit, or other such complaint.
ARTICLE 32 – AD HOC COMMITTEE QUARTERLY MEETING

1. The Employer and Union shall meet once each calendar quarter (or at such other mutually agreeable times) for the purpose of discussing any issues or subjects regarding the application and compliance with the collective bargaining agreement, legislative issues of mutual concern, or any other issue which may be of interest or concern to the members of the union or the Employer.

2. The parties shall, not less than 14 calendar days prior to each meeting, identify and prepare an agenda of issues to be discussed. However, nothing shall preclude either party from raising for discussion any issue of concern. The meeting shall not be used to address pending grievances, which shall be addressed under Article 16.

3. It is the intent and purpose of this procedure to produce a candid, forthright dialogue designed to enhance compliance with the terms of this Agreement as well as to encourage discussion and understanding of any workplace issues or concerns, including issues of respect, dignity, fair treatment, and enforcement of facility policies and procedures. It is the further purpose that issues discussed, problems identified, and understandings arrived at will be communicated to the respective parties’ representatives and memberships.

ARTICLE 33 – PATIENT CARE

1. The Employer and Union agree that quality patient care and an appropriate working environment require adequate staffing levels within all departments. Furthermore, the Employer acknowledges that it will make a good-faith effort to distribute workloads equitably among the employees in the same job classification within a department or operating unit, consistent with patient care, operational unit needs and the terms of the agreement.

2. The Employer shall staff each facility in accordance with staffing requirements set by state and federal law.

3. The parties are in agreement that full cooperation and understanding between the parties and a harmonious relationship will promote efficient performance and better patient care. The parties therefore recognize that matters relating to and affecting patient care, may arise, which may be appropriate to discuss between management and employees other than in a formal grievance process. To this end, a Labor Management Patient Care Committee shall be created to review, discuss and suggest resolution on issues of mutual concern to the parties. The committee may offer suggestions to resolve individual patient care issues that may be present. These suggestions would be non-binding upon the parties.

ARTICLE 34 - OUTSOURCING AND SUBCONTRACTING

1. The Employer shall continue to have the right to outsource and subcontract bargaining unit work. For purposes of this Agreement, the term “subcontract” shall mean the employer’s decision to utilize a vendor to perform bargaining unit work within the facility. For purposes of this
Agreement, the term “outsource” shall mean the employer’s decision to utilize a vendor hired to perform bargaining unit work outside the facility.

2. The Employer shall provide the Union with written notice of any decision to outsource or subcontract bargaining unit work at least thirty (30) consecutive calendar days in advance of the effective date of the scheduled outsourcing or subcontracting.

3. The Employer shall incorporate in any subcontracting agreement a requirement that the vendor providing the subcontracted work shall comply with the terms of this Agreement in the affected facility(ies) covered by this Agreement. The Employer shall encourage the vendor providing subcontracting to employ employees displaced as a result of the decision to subcontract at each employee’s straight time hourly rate of pay and seniority date which is prevailing at the time the subcontracting agreement is executed. Subcontractors who hire the displaced employees shall offer them employment in the order of seniority enjoyed by each displaced employee until the Subcontractor has engaged its full complement of employees.

4. Consistent with the terms of this Agreement, the Employer will offer to any employee to whom an offer of employment is not made by the vendor providing the subcontracted or outsourced work transfer within the facility or any available opening in the bargaining unit with regard to which the employee has the skill and ability to perform. If any employee moves into another bargaining unit position, she or he shall maintain his or her seniority under this Agreement. Any employee displaced or laid off as a result of a decision to subcontract or outsource work shall retain recall rights to their previous position if it is returned to the bargaining unit or to the first available opening that they are qualified to perform if they are laid off.
FOR SEIU LOCAL 73, CTW LLC

[Name, Title]

______________________________

Date: __________________________  Date: __________________________

FOR LIEBERMAN SKILLED NURSING

[Name, Title]

______________________________

SEIU Local 73 CTW Negotiating Committee
APPENDIX A

WORK AND SAFETY RULES
AND REGULATIONS
ADDENDUM TO AGREEMENT

These work and safety rules and regulations shall be applicable to the employees in the bargaining unit.

It is essential to the successful operation of the facility’s business and the welfare of its patients and employees that fairly established standards of discipline, health, safety, attendance, workmanship and honesty be maintained. Employees shall have an opportunity to sign formal warnings, acknowledging that such warning has been given and to comment on such warning. Disregard or violation of these rules and regulations, incapacity to meet such established standards or unauthorized disclosure of confidential facility matters will subject an employee to reprimand or discharge.

Nothing in these rules and regulations shall abrogate the employee’s right, through the Union of which he is a member, to challenge a penalty through the regular grievance machinery. Rules and regulations herein contained are a part of the present Union contract.

It is agreed that the failure of an employee to follow the reasonable instructions of her/his supervisor constitutes possible just cause for disciplinary action up to and including discharge. Therefore, if any employee or group of employees feels that any rule, policy, instruction or order of any supervisor or manager is improper, the employee or group of employees shall comply with the rule, policy, instruction or order (unless such order or instruction is clearly contrary to law, or if the employee has an objective basis for believing that to do so will result in an abnormal risk of serious injury to the employee or to a resident), with the understanding that the employee or group of employees may thereafter file a grievance under the grievance procedure.
Accumulation of five (5) violations (excluding those for which counseling is provided) in any twelve (12) month period shall be grounds for discharge.

No violation occurring more than twelve (12) months prior to any subsequent violation shall be considered in determining the discipline for the subsequent violation.

The Employer shall issue discipline to the employee within seven (7) days of knowledge of the events constituting the offense.

Unpaid suspensions for the purpose of investigating possible discipline shall not exceed three (3) working days. If there is no Steward available or Union Rep present at the suspensions, the Employer will notify the Union within twenty-four (24) hours of the suspension. If the suspended employee is not returned to work by the fourth day, the remaining days on suspension shall be paid unless there are circumstances that make an additional delay unpreventable which shall not exceed an additional four (4) days provided notice is given for the additional days to the Union by the Employer. This 3-day restriction is not applicable in cases where outside agencies are otherwise conducting independent investigations.

For the purpose of Rules 37-50, the “employment year” begins on the date of hire and on an employee’s anniversary date thereafter.

1. Stealing from resident, visitor, other employee or facility.
   1st offense – Discharge

2. Reporting for work while under the influence of alcohol or while suffering from its effects, or while under the influence of controlled drugs or narcotics (unless such are being taken pursuant to a physician’s written prescription).
   1st offense – Discharge
3. Possession of, or drinking of liquor or any alcoholic beverages, or possession of or using any controlled drugs or narcotics (unless such are being taken pursuant to a physician’s written prescription) on company property or company time.
   
   1st offense – Discharge

4. No firearms, knives or weapons of any type shall be brought into the facility or onto facility property. (Pepper spray, pocket knives or other similar devices, carried for self-defense in coming to and from work, shall not be considered a weapon within the meaning of this section, so long as the employee maintains proper security over these items).
   
   1st offense – Discharge

5. Failure of an employee to follow the reasonable instructions of her/his supervisor. 1st offense – Discharge

6. Willful destruction or damage of property belonging to facility or persons. 1st offense – Discharge

7. Physical or verbal abuse, neglect, or attempting to injure residents or other person, including any other staff member, supervisor, or manager.
   
   1st offense – Discharge

8. Intentionally falsifying employment or other facility records. Except as otherwise required by law, an employee shall not be discharged of suffer loss of seniority solely because the employee of his/her initiative provides proof of a lawful and accurate change of name or Social Security Number. The foregoing shall not be deemed a violation of Standard of Conduct No. 8.
   
   1st offense – Discharge

9. Verbal or written threat to injure or harm any other person including any other
staff member, supervisor, or manager.

1st offense – Discharge

10. Failure to verbally report an incident involving a resident or staff. Reports may be confirmed in writing in appropriate log.

1st offense – Discharge

11. Punch other person’s time card or asking another to punch your time card. 1st offense – Discharge

12. Discourteous behavior to any resident or visitor.

1st offense – Up to three (3) consecutive days suspension, at discretion of Employer.

2nd offense – Discharge

13. Absent for two (2) consecutive working days without notifying the facility, unless an emergency prevented the employee from giving notice.

1st offense – Automatic Resignation

14. Unauthorized use of cameras or recording devices.

1st offense – Discharge

15. Requesting to borrow money or asking for tips, loans, gratuities or gifts from any resident or member of a resident’s family.

1st offense – Discharge

16. Revealing to any person, other than an employee working with the resident, any confidential information concerning any resident.

1st offense – Discharge

17. Sleeping while on duty.
18. Failure to follow parking lot regulations, if any.
   1st offense – Informal Warning
   2nd offense – Formal Warning
   3rd offense – Loss of Privilege

19. No employee shall visit other parts of the facility or leave the facility other than in the line of duty or with the permission of the supervisor. When leaving the facility, the employee shall notify the supervisor and shall punch out.
   1st offense – Formal Warning
   2nd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   3rd offense – Discharge

20. Gambling on facility premises.
   1st offense – Formal Warning
   2nd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   3rd offense – Discharge

21. Employees not meeting health test requirements will not be permitted to work until the test is satisfactorily completed. If the test is not satisfactorily completed within seven (7) days of the required date, the employee will be discharged.

22. Solicitation of any kind, distribution or circulation of literature, petitions and written or printed matter of any description in the facility shall not be done by an employee during his or her working time or in patient areas without the prior written consent of the Administrator.
   1st offense – Warning
   2nd offense – Formal Warning
3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer
4th offense – Discharge

23. Unauthorized posting, removal or tampering with bulletin board items. 1st offense – Formal Warning

2nd offense – Up to three (3) consecutive days suspension, at discretion of Employer
3rd offense – Discharge

24. Playing of radios, etc., loudly so as to disturb residents or others.

1st offense – Informal Warning
2nd offense – Formal Warning
3rd offense – One (1) day suspension, at the discretions of Employer
4th offense – Discharge

25. Unauthorized use of cell phones, or similar devices, telephone or other equipment for personal needs.

1st offense – Formal Warning
2nd offense – Up to three (3) consecutive days suspension, at discretion of Employer
3rd offense – Discharge

26. Loitering in work area when not scheduled to work.

1st offense – Informal Warning
2nd offense – Formal Warning
3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer
4th offense – Discharge

27. Failure to follow dress code and good hygiene.

1st offense – Informal Warning
2nd offense – Sent home for rest of day without pay

28. No employee shall smoke in unauthorized areas.
   1st offense – Formal Warning
   2nd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   3rd offense – Discharge

29. No work shall be performed in an unsafe manner.
   1st offense – Informal Warning
   2nd offense – Formal Warning
   3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   4th offense – Discharge

30. Failure to notify Personnel of address or telephone number change which the facility shall keep confidential.
   1st offense – Informal Warning
   2nd offense – Formal Warning
   3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   4th offense – Discharge

31. Not in assigned work place at starting and quitting time.
   1st offense – Informal Warning
   2nd offense – Formal Warning
   3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer
   4th offense – Discharge

32. Failure to punch in or out, or obtain supervisor’s approval during same shift.
   1st offense – Informal Warning
2nd offense – Formal Warning

3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer

4th offense – Discharge

33.  (a) Swearing, obscene language or horseplay.

   1st offense – Formal Warning

   2nd offense – Up to three (3) consecutive days suspension, at the discretion of Employer

   3rd offense – Discharge

(b) Sexual, racial or ethnic harassment of any resident, family member of a resident, staff member, supervisor or member of management.

   1st offense – Formal Warning or discharge, depending on the circumstances. 2nd offense – Discharge

(c) Maintaining or attempting to maintain a relationship (whether or not consensual) with a resident that is sexual or romantic in nature unless the resident is the employee’s spouse.

   1st offense – Discharge

34. In unassigned area during working hours without permission other than in the line of duty.

   1st offense – Informal Warning

   2nd offense – Formal Warning

   3rd offense – Up to three (3) consecutive days suspension, at discretion of Employer

   4th offense – Discharge

35. Overstaying rest or lunch period.

   1st offense – Informal Warning

   2nd offense – Formal Warning

   3rd offense – Up to three (3) consecutive days - suspension, at discretion of Employer
4th offense – Discharge

36. For issues which are not addressed in this addendum, in addition to the work and safety rules and regulations set forth above, each facility shall have the right to promulgate, modify and enforce any other reasonable rule, policy or regulation regarding the work, safety, attendance, dress, conduct and performance of its employees and the care of its residents, including but not limited to rules regarding drug and alcohol use and testing. Such rules shall be published and a copy of which shall be maintained for inspection by employees with a copy to the Union. The penalties for violations of any such rule or policy shall be consistent with the concepts of progressive discipline and just cause, with due recognition that some offenses are serious enough to warrant discharge with or without prior warning.
ABSENTEEISM AND
TARDINESS STANDARDS

Absence — Unexcused

37. One day absence in an employment year.
Informal Warning with counseling

38. One additional day of absence in the same employment year. Formal Warning

39. One additional day of absence in the same employment year. Up to 3 consecutive days suspension, at discretion of Employer

40. One additional day of absence in the same employment year. Discharge

An Unexcused Absence

When the employee has not asked for, and/or not received prior permission from his/her department head, to be absent for any reason except illness, or has not called in to report an absence, or is tardy three (3) hours or more.

Absence Excused (Illness)

41. Four episodes in any employment year.
Counseling

42. One additional episode in the same employment year.
Informal Warning

43. One additional episode in the same employment year.
Formal Warning.

44. One additional episode in the same employment year. Up to 3 consecutive days suspension, at discretion of Employer

45. One additional episode in the same employment year.
Discharge

An episode of illness - the number of days needed for the illness or injury to run its course so the employee may safely return to work. If the episode will be more than ten (10) days, the employee shall request a leave of absence.

An Excused Absence - when the cause of the absence was not within the control of the employee, and is independently verifiable.

A prearranged absence - (department head permission) for approved reasons, such as vacations, compensated time, jury duty, etc., shall not be grounds for disciplinary action.

TARDINESS - Punching in up to three (3) hours after the start of a shift.
46. Tardy twice in five (5) payroll periods.
   Counseling

47. Tardy one additional time in five (5) payroll periods.
   Informal Warning

48. Tardy one additional time in the same five (5) payroll periods. Formal Warning

49. Tardy one additional time in the same five (5) payroll periods. Up to 3 consecutive days suspension, at discretion of Employer.

50. Tardy one additional time in the same five (5) payroll periods. Discharge

**DOCKING**

6-15 minutes late: 15 minutes of docked time

16+ minutes late: Docked in 15 minute increments to next quarter hour
APPENDIX B

These rules of conduct shall be binding upon the Union and the Employers (as defined in Article 2, Section 6) in the event of any organizing drive by the Union to organize any service and maintenance employees in any unorganized or newly-acquired facility of any such Employer.

Nothing contained in these rules of conduct is intended to violate any federal or state law or rule or regulation made pursuant thereto. If any part of this appendix is found to be unlawful, then that specific part shall be considered null and void and parties shall within 30 days of such determination meet to determine the impact of such determination and attempt to negotiate a valid replacement provision which reflects the intent of the original provision as closely as legally allowed.

Section 1: Notice and Unit

(a) The Union shall serve written notice on the Employer not less than 24 hours prior to commencement of any organizing at the Employer. The notice shall identify the unit of the Employer’s employees that the Union is seeking to represent.

(b) Any organizing drive conducted by the Union under this Appendix C shall be for a Service and Maintenance unit containing all full-time and regular part-time Certified Nursing Assistants (CNAs), Dietary Employees, Housekeeping Employee, Laundry Employees, Activity Aides, Rehab Aides, and Psychosocial Aides, but excluding licensed practical and registered nurses, confidential employees, casual employees, guards, managers and supervisors as defined in the National Labor Relations Act.

(c) As soon as possible following the commencement of the organizing drive, the Union and the Employer shall meet to discuss the inclusion or exclusion of other titles commonly included by the National Labor Relations Board in nursing home service and maintenance units, such as (by way of example but not limitation): Receptionist, Unit/ward clerk, titles specific to that facility, etc. The parties shall also meet to define those individuals affected by the statutory exclusions (supervisors, managers, guards, casual and confidential employees as defined in the Act).

(d) Any discussions regarding the inclusion or exclusion of such titles shall not delay the compliance by either party with the obligations under this Agreement. In the event the parties are unable to mutually agree on the inclusion or exclusion of these titles, the unit shall be limited to that described in subparagraph (b) above.

Section 2: Duration and Applicability

(a) These rules of conduct shall apply only with respect to the employees in the unit and facility identified in the notice required by section 1 above; shall apply beginning on the date when the Union provides said notice, and shall continue only until the earliest of the following dates:

(b) Sixty days of serving the above notice under section 1, unless the union has filed a petition within that sixty day period.
(c) The date when the Union withdraws its petition for such an election

(d) The date of such an election.

(e) Notwithstanding the above timeline, and in return for the Union’s commitment to give notice on the commencement of the organizing drive, the Employer agrees that it shall not attempt to prevent the union from commencing an organizing drive by campaigning against unionization in advance of any organizing drives.

Section 3: Joint Statement

(a) Within 72 hours after the Employer’s receipt of the foregoing notice from the Union, the Employer shall post a statement jointly signed by the Union and the Employer, the substance of which shall be as set forth in Exhibit A attached hereto and made a part hereof, addressed to the employees in the identified unit.

Section 4: List

(a) As soon as possible, but no later than four (4) working days after the union serves the notice in Section 1, the Employer shall provide the union with a list of employees in the affected unit, their job title, and their home address. The list shall be for the unit described in lb above unless the parties mutually agree to add other titles. The union shall promptly notify the Employer if it believes the list is inaccurate or incomplete, and the Employer shall promptly make reasonable efforts to correct any deficiencies.

Section 5: Access

(a) As soon as practicable, but no more than four (4) working days after the Employer receives a request for access under Section 1 above, the Employer shall allow access to suitable employee break areas, to be agreed upon by the Union and the Employer, for Union representatives/organizers to meet with employees in the identified unit. The number of Union representatives/organizers meeting in the meeting area at any one time shall be limited to three, unless special circumstances (such as multiple languages spoken by employees) require additional representatives.

(b) The aforesaid break areas shall be available to the Union’s officers, organizers and stewards at reasonable times; and shall be the location(s) where the affected employees normally take their breaks (including smoking areas). To the extent feasible the location(s) shall be located away from patient care areas; and supervisors and managers shall not be present in these areas when union representatives are speaking to employees, nor shall supervisors and managers engage in surveillance of the entrance and exit of said location(s). Employees in the identified unit shall be permitted access to the break location(s) during their non-working time.

(c) Additionally, the union’s officers, organizers, and stewards shall be permitted access to facility parking lots, provided they limit their communications to employees in the affected unit and do not interfere with the flow of traffic or cause employees to be late for work.
At all times, union representatives shall limit their communication to the members of the identified unit, except to the extent necessary to determine the identity of an employee and whether such employee is a member of the unit.

Section 6: Petition for Election or Request for Recognition

(a) Within 60 days after serving the notice provided in Section 1, the Union shall either (1) file for an NLRB election with the showing of interest required by the NLRB, or (2) make a request for voluntary recognition pursuant to a majority card check, or (3) inform the employer that it has terminated its organizing drive. The employer shall be under no obligation to conduct a card check, but in the event the employer does so, such card check shall be conducted within three (3) working days of the union’s request. If the union’s request for card check is denied, the union may proceed to file with the NLRB.

(b) If the Union does not file for an NLRB election or request card check recognition, or does not promptly file for an NLRB election following the employer’s denial of card check recognition, or if the Union files a petition and then withdraws it, the Union shall be precluded for a period of one year from seeking to represent employees in the identified unit. The one-year period shall begin from the earliest of the following dates: if no petition has been filed, the date when the Union notifies the Employer that it is no longer seeking to represent the identified unit, or the date when the sixty day period elapses, or the date when the Union withdraws a petition that it has filed within the sixty-day period.

Section 7: Employee Freedom of Choice

(a) Employees have the right to choose whether or not to be represented by the Union and to make that decision in an atmosphere free of harassment, coercion, intimidation, promises or threats by either the Employer or the Union.

Section 8: No Disruption or Interference

(a) All activities subject to these provisions shall be carried out in a manner so as to not disrupt patient care or otherwise interfere with the operations of the Employer, including the work of any employee.

Section 9: Neutrality

(a) The Employer shall remain neutral on the question of whether employees of the affected facility should choose to be represented by the Union. The Employer shall take all reasonable steps to assure that its owners, managers, supervisors, and other agents remain neutral on this question and do not attempt to influence employees’ choice in any manner. The Employer shall instruct its owners, managers, supervisors and other agents to refrain from initiating or participating in conversations with employees in the proposed bargaining unit about the Union or Union representation. If an employee in the proposed bargaining unit asks an owner, manager, supervisor or agent a question about the Union or Union representation, the owner, manager, supervisor or other agent may respond factually only to the question asked and tell the employee that the Employer is neutral on the question of Union representation, that the choice of whether
the employee wants to be represented by the Union is for the employee to make and that the Employer will honor that decision and bargain in good faith with the Union if the majority of the employees in the bargaining unit sought select the Union as their bargaining representative. Notwithstanding these provisions, the Employer may correct any factual errors by the union by written communication subject to the provisions of Section 10 below.

Section 10: Pre-approval of Materials

(a) Neither the Union nor the Employer shall publish, distribute or disseminate any campaign flyers, leaflets, letters, memoranda, notices, other written materials, or any audio, video or electronic media relating to the campaign without the prior approval of the other’s special representative designated for resolving disputes pursuant to section 16. In the event of any unresolved dispute regarding such communication, either party may submit the issue to the Arbitrator, pending whose ruling the communication may not be distributed. The Arbitrator’s authority with respect to any dispute concerning a proposed communication shall be limited to determining whether and how the content of the proposed communication is inconsistent with these rules of conduct, and prohibiting its issuance to the extent that it is inconsistent.

Section 11: Union Communications

(a) The Union’s organizing campaign (oral and written) shall be positive and factual, and shall not disparage either the motive or mission of the Employer and/or their representatives (e.g., officers, managers and supervisors) and/or any related entity or any representatives thereof. The Union may convey its position fairly, and may provide employees with factual information to support an informed decision.

Section 12: Employer Meetings

(a) The Employer shall not hold any one-on-one or group paid time meetings a subject of which is representation by the union.

Section 13: Correction of Inaccurate Statements

(a) Nothing contained in this Agreement shall be construed as limiting either the Union’s or the Employer’s right to correct any inaccurate statements made by the other during the period covered by these rules of conduct, provided that the corrections are made in a manner consistent with Sections 9-12 above.

Section 14: Consultants

(a) Neither the Union nor an Employer shall use consultants or other representatives or surrogates to engage in activities inconsistent with these rules of conduct. The Employer shall not sponsor or encourage any group of employees who advocate against unionization.

Section 15: Election Procedure

(a) Elections pursuant to these rules of conduct shall be conducted by secret ballot supervised by the National Labor Relations Board and shall be governed by the Board’s rules and regulations and by the procedures set forth herein.
The parties shall attempt to resolve all issues regarding unit definition prior to the filing of any petition with the Board, but this shall not require the union to delay its filing once a showing of interest has been obtained. The definition of the unit shall be as set forth in Section 1, and in the interest of expediting the process, the parties agree not to insist on the inclusion of any titles other than those set forth in Section above.

The Union and the Employer shall enter into a stipulated election agreement providing for an election to be held within 14 days of the filing of the petition, or as soon as possible beyond 14 days.

In the event of any dispute regarding the statutory exclusions, the parties agree that those employees will be allowed to vote subject to challenge, provided the total number of employees in dispute is less than 10% of the combined disputed and undisputed employees, with ultimate disposition of the issue deferred until after the election, provided that they do not meet or exceed the 10% limitation.

Section 16: Enforcement/Arbitrator

As soon as practicable after the commencement of the organizing drive, the Union and the Employer shall (A) each designate a special representative responsible for compliance and dispute resolution with respect to organizing under this provision; and (B) select an Arbitrator (or request the designation of an arbitrator under the expedited procedures of the American Arbitration Association or FMCS) who shall be authorized to resolve disputes in accordance with this Article. The Union and the Employer shall equally share the costs and expenses of the Arbitrator.

Within 24 hours after the special representatives of the Union and the Employer have been designated, they shall hold an initial conference among themselves to discuss the provisions of this Appendix.

Except as set forth in this Section 16, the Arbitrator shall have sole authority to hear any case and award an appropriate remedy concerning any dispute between the Union and the Employer relating to the interpretation or application of this Appendix; and any claim that either party did not comply with these rules of conduct. In addition:

- in cases where the Employer allegedly has discharged, disciplined or retaliated against an employee, the Arbitrator shall only have the authority to determine whether the Employer acted in reprisal for the employee’s protected concerted activity in violation of the NLRA and, if the claim is found to have merit, to award a remedy available under the NLRA.
- in cases where it is alleged that either the union or the Employer violated the rules of conduct set forth in this agreement, to the extent that such conduct affected the outcome of the election and the arbitrator so finds, then the party violating the rules of conduct shall join in a stipulation setting aside the results of the election and providing for a re-run election, providing that the objecting party also filed timely objections with the NLRB.
(g) However, if the Arbitrator does not find that the alleged violation(s) of the rules of 
conduct affected the outcome of the election, then the objecting party shall withdraw its 
objections filed with the NLRB. However, alleged objectionable conduct not subject to this 
Agreement and any other pre-election and post-election conduct, including resolution of disputes 
over challenged ballots shall be within the exclusive jurisdiction of the NLRB upon the timely 
filings of objections and/or challenges with the NLRB.

(h) (c) In no event shall the Arbitrator have the authority to compel recognition of 
the Union or issue a bargaining order.

**Section 17: Dispute Resolution**

(a) Disputes between the Union and the Employer shall first be addressed by their special 
representatives. If the special representatives are unable to resolve the dispute then they shall 
submit the issue to the Arbitrator within twenty-four (24) hours after the dispute first arose. The 
Arbitrator shall issue a determination within the next seventy-two hours. In the event of any 
disputes within 5 days of the scheduled election date, the Arbitrator shall issue a determination 
within 24 hours. If necessary to meet these timelines, the Arbitrator may direct the parties to 
submit their evidence and any position statements by facsimile, and may hear testimony via 
telephone.

(b) The foregoing time limitations shall not apply with respect to sections 16(a) – (alleged 
retaliation against an employee) and sections 16 (b) (objections to the election), but such cases 
shall nonetheless be expedited, and the arbitrator shall be directed to take all appropriate 
measures to expedite the arbitration process, including but not limited to, limiting the number of 
employer or employee witnesses, limiting the scope of the hearing, limiting the submission of 
b briefs and/or ruling on the basis of brief written submissions in lieu of hearings and/or ruling on 
the basis of telephone conferences in lieu of hearings. The intent of the arbitration process shall 
be to obtain a ruling as quickly as possible on the issue in dispute in order to allow the process to 
continue without delay. The arbitrator’s decision within the limits of the arbitrator’s authority 
shall be final and binding.

**Section 18: Limitations**

The provision of this appendix shall not apply:

a) with respect to a unit other than a service and maintenance unit

b) with respect to any unit that would be inappropriate for collective bargaining or 
representation by the Union under the NLRA

c) to the employer in its conduct toward any labor organization other than SEIU Local 73 
CTW
EXHIBIT A

To [Unit] Employees of Employer:

SEIU Local 73 CTW is seeking to represent you for purposes of collective bargaining. SEIU Local 73 CTW and Employer have jointly prepared this letter and the accompanying information sheet in the shared belief that you should understand the nature of the relationship between Employer and SEIU, your rights under the circumstances and the process that will be followed as the Union seeks to gain your support.

SEIU Local 73 CTW and the Employer are committed to working together to maintain and improve the ability of nursing homes to provide quality health care through joint labor-management efforts; to insure appropriate funding and resources for health care through joint legislative work; and other joint ventures to promote quality care.

The Employer also recognizes that labor strife has a disruptive effect on these joint efforts. Accordingly, Employer and SEIU have agreed to the additional procedures and rules of conduct described in the accompanying information sheet in order to help you make an informed decision on this important issue in an atmosphere that supports your freedom of choice.

Employer will not tell you to vote against representation by the Union, and believes that each of you must make your own decision based upon factual information that supports an informed decision. Employer will remain neutral on the issue of unionization, in other words, Employer and its supervisors will not try to influence your decision.

We encourage you to read the attached information sheet as it contains important information about your rights.

Sincerely yours,

Sincerely yours,
INFORMATION SHEET

Under federal law, whether the employees shall be represented by SEIU Local 73 CTW will be determined by a secret-ballot election conducted by the National Labor Relations Board ("NLRB"), an agency of the U.S. government. Before the NLRB will conduct an election, SEIU Local 73 CTW must demonstrate that at least 30% of employees desire union representation. Alternately, where a majority of employees sign up for union representation, the employer may agree to waive the election and recognize the union on the basis of a majority having signed up.

SEIU Local 73 CTW is or will be asking employees to sign authorization cards as a way to demonstrate such support.

The NLRB will not conduct an election unless the union has a sufficient number of signed cards. Prior to the election, it will be determined which employees are eligible to vote; however, the majority of those who actually vote will determine the result of the election. In other words, 50% + 1 of the employees who actually cast ballots will determine whether or not SEIU Local 73 CTW shall represent all of the eligible employees.

Each employee has the right to participate or refrain from participating in union activities, including the right to sign or not to sign union authorization cards. Employer and SEIU Local 73 CTW support the freedom of workers to join a union, as well as their right to choose not to do so. Employer and SEIU Local 73 CTW agree that, when employees are making such an important decision, it is essential that they have access to accurate and factual information about the organization that is seeking to represent them, and about what it means to be represented by a union.

Employees have the right to distribute literature concerning support for or against union representation on non-working time, in non-patient care areas such as break rooms, cafeteria, parking lots, smoking areas and other places outside the facility. Employees may talk about whether or not they want to be represented by a union and workplace issues including wage rates, disciplinary system, employer policies and rules and working conditions in any area under the same terms applicable to any other private conversation between employees.

In addition to the above, Employer and SEIU Local 73 CTW have agreed to the following rules of conduct governing the union’s organizing:
ORGANIZING RULES OF CONDUCT

Freedom of Choice. Employees have the right to choose whether or not to be represented by the Union, and to make that decision in an atmosphere free of harassment, coercion, intimidation, promises or threats by either the Employer or the Union.

Access. The Employer shall allow access to the employee break areas (including smoking break areas) for Union representatives to meet with employees. Supervisors and managers shall not be present in these areas when Union representatives are speaking to employees, nor shall supervisors and managers engage in surveillance of the entrance and exit to these locations. Employees in the identified unit shall be permitted access to the break location(s) during their non-working time.

The Employer shall also allow access to the parking lots for Union representatives to speak with employees, so long as the Union representatives do not cause the employees to be late for work.

Once the Union begins its organizing drive, the Employer will furnish the Union with a list of the names, addresses and job classifications of all eligible employees.

No Disruption or Interference. All organizational activities by the Union, including but not limited to the Union’s activities in the employee break room or in the meeting room shall be carried out in a manner so as to not disrupt resident care or otherwise interfere with the operations of the Employer or the work of any employee.

Union Campaign. The Union’s organizing campaign (oral and written) shall be positive and factual, and shall not disparage either the motive or mission of the Employer and/or their representatives (e.g., officers, managers and supervisors) and/or any related entity or any representatives thereof. The Union may convey its position fairly, and may provide employees with factual information to support an informed decision.

Employer Neutrality. The Employer shall remain neutral on the question of whether employees should choose to be represented by the Union. The Employer shall take all reasonable steps to insure that its owners, managers, supervisors or other agents remain neutral on this question and do not attempt to influence employees’ choice in any manner. The Employer shall instruct its owners, managers, supervisors or other agents not to initiate
or participate in conversations with employees in the proposed unit about the Union or Union representation. If an employee in the proposed unit asks an owner, manager, supervisor or agent a question about the Union or Union representation, the owner, manager, supervisor or other agent may respond factually only to the question asked and tell the employee that the Employer is neutral on the question of Union representation, that the choice of whether the employee wants to be represented by the Union is for the employee to make and that the Employer will honor that decision and bargain in good faith with the Union if the majority of the employees in the bargaining unit sought select the Union as their bargaining representative.

Meetings. The Employer shall not discourage employees from attending Union meetings and shall not discourage employees from accepting or reading any material distributed by the Union. The Employer shall not discourage employees from meeting with Union representatives in the employee’s home. The Employer shall not hold any one-on-one or group paid time meetings a subject of which is representation by the Union.

Other Representatives. Neither the Union nor the Employer shall use consultants or other representatives to engage in activities inconsistent with these rules of conduct. The Employer shall not sponsor or encourage any group of employees who advocate against unionization.

Recognition Procedure. Elections will be conducted by secret ballot supervised by the National Labor Relations Board and governed by the Board’s rules and regulations. If a majority of eligible employees sign up for the union, the Employer may agree to recognize the union without an election.

Negotiations. If a majority of employees decide to join the Union by signing cards or voting for Union representation in an election, employees at this facility will be covered under that Union contract except that either the Employer or the Union may request negotiations for a side letter to make changes or additions to that contract because of different conditions at this facility.
LETTER OF AGREEMENT AND UNDERSTANDING
REGARDING ORGANIZING BY OTHER UNIONS

In the event another labor organization begins a competing organizing drive at a facility in which SEIU Local 73 CTW is already conducting an organizing drive pursuant to Appendix B to the extent required by law and only to the extent required by law, the Employer will extend the provisions of this agreement to the competing labor organization where the other union is willing to abide by the same conditions as those accepted by SEIU Local 73 CTW.

During the term of the underlying collective bargaining agreement, no Employer subject to this agreement shall grant voluntary recognition to any other labor organization for any group of employees subject to this Appendix.

In the event any other labor organization informs the Employer of its intent to organize employees subject to this Appendix, or otherwise commences an organizing drive prior to SEIU Local 73 CTW commencing an organizing drive, the Employer will promptly notify SEIU Local 73 CTW. The Employer shall not extend the provisions of this Appendix to any union in any covered facility, unless such union has complied with the Appendix in all its terms to the same extent required of SEIU Local 73 CTW. Where the other labor organization has complied to the same extent required of SEIU Local 73 CTW, the Employer shall be allowed to extend the provisions of this agreement to both SEIU Local 73 CTW and the other union, or terminate this agreement as applied to such facility for a period of one year. Such termination shall not invalidate the prohibition on voluntary recognition.

No Employer subject to this Agreement shall directly or indirectly solicit or encourage the organizing of covered facilities by another union, nor in any way encourage organizing by any other union for the purpose of interfering with the application of this agreement by SEIU Local 73 CTW.
APPENDIX A

WORK AND SAFETY RULES
AND REGULATIONS
ADDENDUM TO AGREEMENT

These work and safety rules and regulations shall be applicable to the employees in the bargaining unit.

It is essential to the successful operation of the facility's business and the welfare of its patients and employees that fairly established standards of discipline, health, safety, attendance, workmanship and honesty be maintained. Employees shall have an opportunity to sign formal warnings, acknowledging that such warning has been given and to comment on such warning. Disregard or violation of these rules and regulations, incapacity to meet such established standards or unauthorized disclosure of confidential facility matters will subject an employee to reprimand or discharge.

Nothing in these rules and regulations shall abrogate the employee's right, through the Union of which he is a member, to challenge a penalty through the regular grievance machinery. Rules and regulations herein contained are a part of the present Union contract.

It is agreed that the failure of an employee to follow the reasonable instructions of her/his supervisor constitutes possible just cause for disciplinary action up to and including discharge. Therefore, if any employee or group of employees feels that any rule, policy, instruction or order of any supervisor or manager is improper, the employee or group of employees shall comply with the rule, policy, instruction or order (unless such order or instruction is clearly contrary to law, or if the employee has an objective basis for believing that to do so will result in an abnormal risk of serious injury to the employee or to a resident), with the understanding that the employee or group of employees may thereafter file a grievance under the grievance procedure.
FOR SEIU LOCAL 73, CTW LLC

[Name, Title]

Frank Klein Division Director SEIU L 73

Date: 1/25/22

FOR LIEBERMAN SKILLED NURSING

[Name, Title]

Shilpi Chona

Date: 2/1/2022

SEIU Local 73 CTW Negotiating Committee